

City of Minneapolis Licenses and Consumer Services 505 Fourth Ave. S., Room 220 Minneapolis, MN 55415 Telephone: 612-673-2080 www.minneapolismn.gov/businesslicenses

For Office Use Only AP: BLAmend/ MCO: 362.100 Adm Issuance: Yes

## License Application: Corporate Name Change/Cocktail Room

**Definition:** You change the name of your legal entity, but no changes are made to your MN Secretary of State file number or MN Sales Tax ID number. There is no fee for this application. Your business continues regular operations. Your business must have a current license in good standing. If you are making any changes to <u>ownership or operations</u>, applications are available on our website.

If you have questions, send an email to <u>businesslicenses@minneapolismn.gov</u>, contact your <u>License</u> <u>Inspector</u>, or call 612-673-2080.

| 1. Application Requirements   |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|
| <ol> <li>Complete the application and include all the requirements listed below. Incomplete applications<br/>may be returned. You may send your application by email (<u>businesslicenses@minneapolismn.gov</u>),<br/>US mail, or drop it off at our office.</li> </ol>   |  |  |  |  |  |  |  |
| 2. Alcohol License Change Form (Form #1)  |  |  |  |  |  |  |  |
| 3. Liquor liability insurance certificate (Form #2) - This should have your new name on the certificate.  |  |  |  |  |  |  |  |
| 4. State of Minnesota Distillery On-Sale Micro Distiller Cocktail Room License Application (Form #3)  |  |  |  |  |  |  |  |
| <ul> <li>5. Would you like to submit a Corporate Name Change application for your Off Sale Distillery license?</li> <li>Yes. The ownership is exactly the same for both licenses. I understand there is no additional fee. I am attaching the State of Minnesota Distillery Off Sale Intoxicating Liquor License Application (Form #3A)</li> <li>No, I do not have an Off Sale Distillery license.</li> </ul> |  |  |  |  |  |  |  |
| 6. Corporate Minutes: Attach a copy documenting approval of company name change.  |  |  |  |  |  |  |  |
| 7. Certificate of Organization with new entity name from the Minnesota Secretary of State.  |  |  |  |  |  |  |  |
| 2. Additional Information   |  |  |  |  |  |  |  |
| For reasonable accommodations or alternative formats, please call us at 612-673-2080 or send us an email at <u>businesslicenses@minneapolismn.gov</u> . Individuals who are deaf or hard of hearing can use a relay service to call 311 at 612-673-3000.  |  |  |  |  |  |  |  |
| Information in other languages: Para asistencia 612-673-2700. Rau kev pab 612-673-2800. Hadii aad<br>Caawimaad u baahantahay 612-673-3500.  |  |  |  |  |  |  |  |

# Alcohol License Change Form

| 1. Type of License Change   |   |  |  |  |  |  |  |
|---|---|--|--|--|--|--|--|
| Amending a Business Pla   | n   | New Corporate Officer                      |  |  |  |  |  |
| Corporate Name Change   |   | New Manager                                |  |  |  |  |  |
| Corporate Shares Purcha   | se  | New Shareholder/Partner                    |  |  |  |  |  |
| Downgrading Entertainm  | ent Class   | Special All Night Bowling /Pool/ Billiards |  |  |  |  |  |
| Downgrading License Typ   | )e  | Special Late Night Food                    |  |  |  |  |  |
| Expansion of Premises   |   | Upgrading Entertainment Class              |  |  |  |  |  |
| Internal Transfer of Share  | 2S  | Upgrading License Type                     |  |  |  |  |  |
|   | 2. Background   | d Information                              |  |  |  |  |  |
| on behalf of:<br>(Legal Corporation Name of Business)   |   |  |  |  |  |  |  |
| Business Name (DBA)   |   | Business Address                           |  |  |  |  |  |
| Business E-mail Address   |   | ersonal E-mail Address                     |  |  |  |  |  |
| Business Telephone Number   | Business Telephone Number         Cell Phone Number         Type and Class of License(s) Currently Held |  |  |  |  |  |  |
| Interior Expansion: Net   | w Seating Capacity:   | New Fire Occupancy: or N/A                 |  |  |  |  |  |
| Exterior Expansion: Nev   | w Seating Capacity:   | New Total Customer Capacity: or 🗌 N/A      |  |  |  |  |  |
| 3. Verification   |   |  |  |  |  |  |  |
| A signature is required.<br>I have read and agree to the <u>Terms and Conditions</u> for electronic signatures, records and payment.<br>I certify or declare under penalty of perjury under the laws of the State of Minnesota that the information on<br>this application, checklist, and attached documents is true and correct. All information given is subject to<br>verification by the State of Minnesota. I understand that false information may result in the denial, suspension, or<br>revocation of my business license.<br>By typing your name, you are electronically signing this application. |   |  |  |  |  |  |  |
|   | Title   |  |  |  |  |  |  |

## **City of Minneapolis Requirements for Liquor Liability Insurance Certificate**

### I TADTI TTV

Certificate cannot be pending, binder or TBA.

> The Legal/Corporate name must match exactly (word for word) to the **Approved License Name** (including Inc. or LLC), Trade Name (DBA), and address of premises.

#### Minnesota Statute 340A.409:

Liquor liability insurance policy number must be included on certificate with coverage dates identical to license period or must state: "Liquor liability coverage is continuous until cancelled."

> Personal Injury or Death: \$50,000/\$100,000

> > **Property Damage:** \$10,000

**Other Pecuniary Loss:** \$50,000/\$100,000

**Original signature or** stamp of agent.

Loss of Means of Support: \$50,000/\$100,000

| CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AME<br>BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONST<br>REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER                           | I<br>NLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER<br>ND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POI<br>ITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHO | RIZE   |
|---|--|--------|
|   | e policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject<br>endorsement. A statement on this certificate does not confer rights                                     |        |
| PRODUCER  | CONTACT<br>NAME:   |        |
| Agency  | PHONE FAX  |        |
| Address   | (A/C, No, Ext): (A/C, No):<br>E-MAIL<br>ADDRESS:   |        |
| City, State, Zip  |  | AIC #  |
|   | INSURER A :  |        |
| NSURED  | INSURER B :  |        |
|   | INSURER C :  |        |
|   | INSURER D :  |        |
|   | INSURER E :  |        |
|   | INSURER F :  |        |
| COVERAGES CERTIFICATE NUMBER:   | REVISION NUMBER:   |        |
| INDICATED. NOT/WITHSTANDING ANY REQUIREMENT, TERM OR CONDITI<br>CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFC<br>EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HA |  | H THIS |
| ISR TYPE OF INSURANCE ADDL SUBR INSR WVD POLICY NUMBER  | R POLICY MM/DD/ LIMITS   |        |
| GENERAL LIABILITY   | EACH CURRENCE \$   |        |
| COMMERCIAL GENERAL LIABILITY  | TO RENTED SISES (Ea occurrence)  |        |
| CLAIMS-MADE OCCUR   | MED EXP (Any one person) \$  | -      |
|   | PERSONAL & ADV INJURY \$   |        |
|   | GENERAL AGGREGATE \$   |        |
| GENL AGGREGATE LIMIT APPLIES PER:   |  |        |
|   | PRODUCTS - COMPIOP AGG \$  |        |
| AUTOMOBILE LIABILITY  |  |        |
|   | COMBINED SINGLE LIMIT<br>(Ea accident) \$  |        |
| ANY AUTO<br>ALL OWNED CHEDULED  | BODILY INJURY (Per person) \$  |        |
| AUTOS   | BODILY INJURY (Per accident) \$  |        |
| HIRED AUTOS   | PROPERTY DAMAGE \$ (Per accident)  |        |
|   | \$   |        |
|   | EACH OCCURRENCE \$   |        |
| EXCESS LIAB CLAIMS-MADE   | AGGREGATE \$   |        |
| DED RETENTION \$  | s  |        |
| WORKERS COMPENSATION  | WC STATU-<br>TORY LIMITS ER  |        |
| AND EMPLOYERS' LIABILITY<br>ANY PROPRIETOR/PARTNER/EXECUTIVE Y/N  | E.L. EACH ACCIDENT \$  |        |
| OFFICE/MEMBER EXCLUDED?   | E.L. DISEASE - EA EMPLOYEE \$  |        |
| If yes, describe under  |  |        |
| DESCRIPTION OF OPERATIONS below   | E.L. DISEASE - POLICY LIMIT \$   |        |
|   |  |        |
| Annual Annual   |  |        |
| SCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remar  | ks Schedule, if more space is required)  |        |
| ERTIFICATE HOLDER   | CANCELLATION   |        |
| ADDITIONAL INSURED:<br>City of Minneapolis – Licenses and Consumer Services<br>505 Fourth Ave. S., Room 220<br>Minneapolis MN 55415   | SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BE<br>THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERE<br>ACCORDANCE WITH THE POLICY PROVISIONS.                 |        |
| Minneapolis, MN 55415   |  |        |
|   | +>   |        |

Applications will be returned if requirements are not complete.



#### MUST BE A LICENSED MICRO DISTILLER IN ORDER TO APPLY FOR THIS LICENSE Certification of an On Sale Micro Distiller Cocktail Room License This license only authorizes the on sale of Liquor produced by the distiller for consumption on the premises

**Cities and Counties:** You are required by law to complete and sign form to certify the issuance of the following License types: **City issued Micro Distiller's Cocktail Room and Sunday Liquor Licenses** 

| City or County Issuing Liquor License: _ |                       | License Period              | _To:                |                                  |  |  |
|--|-----------------------|-----------------------------|---------------------|----------------------------------|--|--|
| Circle One: New License Transfer         |                       | Suspension Revoca           | ation Cancel        | cel(Give Dates)                  |  |  |
| Fees: On Sale Cocktail Room License F    | ee: \$                | Sunday License Fee: \$      |                     | nse Type<br>g for Sunday Liquor) |  |  |
| City or County Email Address:            |                       |                             | · · · · · ·         |                                  |  |  |
| License Name:                            |                       | DOB                         | Social Security #   |                                  |  |  |
|  | tnership, LLC, or Inc |                             |                     | City                             |  |  |
| Business Trade Name<br>Zip Code County   |                       |                             |                     |                                  |  |  |
| Home Address                             | City                  | Zip Code                    |                     |                                  |  |  |
| Business Email                           |                       |                             |                     |                                  |  |  |
| Licensee's MN Tax ID #                   |                       | Licensee's Federal Tax ID   | #                   |                                  |  |  |
| If above named licensee is a corporation | on, partnership       | , or LLC complete the follo | wing for each partn | er/officer:                      |  |  |
| Partner/Officer Name (First Middle Last) | DOB                   | Social Security #           | H                   | ome address                      |  |  |
| Partner/Officer Name (First Middle Last) | DOB                   | Social Security #           | He                  | ome address                      |  |  |
| Partner/Officer Name (First Middle Last) | DOB                   | Social Security #           | н                   | ome address                      |  |  |

On Sale Cocktail Room licensees must attach a certificate of Liquor Liability Insurance to this form. The Insurance Certificate **Must contain** all of the following:

- 1) Show the exact licensee name (Corporation, partnership, LLC, etc.) and business address of the location listed on the license.
- Cover completely the license period set by the local city or county licensing authority as shown on the license.
   Circle One: (YES NO) During the last year has a summons been issued to the licensee under the Civil Liquor Liability Law?
   Workers Compensation Insurance is also required by all licensees: Please complete the following:

Workers Compensation Insurance Company Name: \_\_\_\_\_ Policy # \_\_\_\_\_

I certify that this license(s) has been approved in an official meeting by the governing body of the city or county.

City Clerk or County Auditor Signature \_\_\_\_\_



## APPLICATION FOR MICRO DISTILLERY OFF SALE INTOXICATING LIQUOR LICENSE

Must be a licensed Micro Distillery in order to apply for this license

| Fees: Micro Distillery Off Sale Fee:                                       | \$  |                      |              |           |        |                  |              |                  |              |                           |   |
|--|---|----------------------|--------------|-----------|--------|------------------|--------------|------------------|--------------|---------------------------|---|
| Workers Comp. Ins, Co.   |   | Policy Number        |              |           |        |                  |              |                  |              |                           |   |
| Minnesota Tax ID Number Federal T  |   |                      |              | Тах       | ID Nun | nber             |              |                  |              |                           |   |
| Licensee's Name (business, partnership, LLC, corpora                       |   |                      | DOB          | Social    | Secu   | urity N          | umber [      | DBA or           | Trade        | e Name                    |   |
| Business address   |   |                      |              |           |        | Phone            | e Number     |                  |              | Fax Number                |   |
|  |   |                      |              |           |        |                  |              |                  |              |                           |   |
| City   |   | State                | 9            |           | Zip    | Code             |              | Licens<br>From   |              | riod<br>To                |   |
| Name of Store Manager  |   |                      |              |           | Pho    | ne Nu            | mber         |                  |              | B (Individual Applicant)  |   |
|  |   |                      |              |           |        |                  |              |                  |              | - (                       |   |
| If a corporation or LLC state name, d state names, address and date of bir |   |                      | y Number     | addres    | s, tit | :le, and         | d share hel  | d by ea          | ch of        | ficer. If a partnership,  |   |
| Partner Officer (First, middle, last)                                      | DOB   | SS#                  | Title        |           |        |                  | Shares       | Busin            | <u>ess</u> a | address                   |   |
|  | 000   | 331                  | intic        |           |        |                  | Shares       | Basin            | 000 0        |                           |   |
| Partner Officer (First, middle, last)                                      | DOB   | SS#                  | Title        |           |        |                  | Shares       | Busin            | ess a        | address                   |   |
| Partner Officer (First, middle, last)                                      | DOB   | SS#                  | Title        | Titlo     |        |                  | Shares       | Business address |              |                           |   |
|  | DOD   | 551                  | intic        |           |        |                  | Shares       | Dusin            | C35 0        |                           |   |
| Partner Officer (First, middle, last)                                      | DOB   | SS#                  | Title        |           |        |                  | Shares       | Busin            | ess a        | address                   |   |
|  |   |                      |              |           |        |                  |              |                  |              |                           |   |
|  |   |                      |              |           |        |                  |              |                  |              |                           |   |
| 1. If a corporation, date of incorpora                                     |   |                      |              |           |        |                  | ncorporate   | e in             |              |                           |   |
| , amount paid in capital   |   | If a subsidia        | ary of any   | other co  | orpo   | ration           | , so state   |                  |              |                           |   |
| and give purpose of corporation  |   |                      |              |           | orpo   | rated (          | under the l  | aws of           | anot         | her state, is corporation | า |
| authorized to do business in the stat                                      | e of Minneso  | ta? O <sup>Yes</sup> | ONC          | )         |        |                  |              |                  |              |                           |   |
| 2. Describe premises to which licens                                       | e applies; suc  | h as (first flo      | or, secon    | d floor,  | base   | ement,           | etc.) or if  | entire b         | buildi       | ng, so state.             |   |
|  |   |                      |              |           |        |                  |              |                  |              |                           |   |
| 3. Is establishment located near any                                       | state univers   | ity, state hos       | spital, trai | ning sch  | nool,  | reforr           | matory or p  | orison?          | C            | Yes No                    |   |
| if yes state approximate distance.   |   |                      |              |           |        |                  |              |                  |              |                           |   |
| 4. Name and address of building ow   | ner:  |                      |              |           |        |                  |              |                  |              |                           |   |
|  |   |                      |              |           |        |                  |              |                  |              |                           |   |
| Has owner of building any connectio  | n, directly or  | indirectly, wi       | ith applica  | int?      | 0,     | Yes (            | No           |                  |              |                           |   |
| 5. Is applicant or any of the associate                                    | es in this appl   | ication, a me        | ember of t   | he gove   | ernin  | ng body          | y of the mu  | unicipal         | ity in       | which this license is to  |   |
| be issued? O Yes O No  | If yes, in what   | at capacity?         |              |           |        |                  |              |                  |              |                           |   |
| 6. State whether any person other the                                      | han applicant   | s has any rigl       | ht, title or | interes   | t in t | the fur          | niture, fixt | ures or          | equi         | ipment for which licens   | е |
| is applied and if so, give name and de                                     | etails.   |                      |              |           |        |                  |              |                  |              |                           |   |
| 7. Have applicants any interest what                                       | sover, directl  | y or indirectl       | ly, in any c | other liq | uor    | establ           | ishment in   | the sta          | te of        | f Minnesota?              |   |
| ○ <sup>Yes</sup> ○ <sup>No</sup> If yes, give                              | ○ No If yes, give name and address of establishment. Page 5 of 6 - September 2022 |                      |              |           |        | - September 2022 |              |                  |              |                           |   |

| <ol> <li>Are the premises now occupied or to be occuped or to be occup</li></ol> | pied by the applicant entirely separate and e  | exclusive from any othe   | er business            |  |  |  |  |  |
|--|--|---------------------------|------------------------|--|--|--|--|--|
| <ol> <li>State whether applicant has or will be granted same premises. Yes No Will be</li> </ol>   |  | ith this Off Sale Liquor  | License and for the    |  |  |  |  |  |
| 10. State whether applicant has or will be granted a Sunday On Sale Liquor License in conjunction with the regular On Sale Liquor License.   |  |                           |                        |  |  |  |  |  |
| 11. If this application is for a County Board Off S  | ale License, state the distance in miles to the  | e nearest municipality.   |                        |  |  |  |  |  |
| 12. State Number of Employees  |  |                           |                        |  |  |  |  |  |
| 13. If this license is being issued by a County Boa  | ard, has a public hearing been held as per M   | N Statute 340A.405 su     | b2(d)?                 |  |  |  |  |  |
| 14. If this license is being issued by a County Boa  | ard, is it located in an organized township? I   | f so, attach township a   | pproval.               |  |  |  |  |  |
| 1. State whether applicant or any of the associat municipality or state authority; if so, give date  |  | ication for a liquor lice | nse rejected by any    |  |  |  |  |  |
| <ol> <li>Has the applicant or any of the associates in the license under the Minnesota Liquor Control Advisor Advisor Control Contro Control Control Control Control Control Control Control Contr</li></ol> |  |                           | -                      |  |  |  |  |  |
| 3. Has applicant, partners, officers, or employee including State Liquor penalties? O Yes  | s ever had any liquor law violations or felon<br>No If yes, give dates, charges and fir                      |                           | sota or elsewhere,     |  |  |  |  |  |
| 4. During the past license year, has a summons b         Yes       No         If yes, atta         This licensee must have one of the following:   | been issued under the Liquor Civil Liability La<br>Ich a copy of the summons.<br>(ATTACH CERTIFICATE OF INSL |                           |                        |  |  |  |  |  |
| Check one  |  |                           |                        |  |  |  |  |  |
| Liquor Liability Insurance (Dram Shop) - \$50,0<br>C and \$100,000 for loss of means of support.   | 000 per person, \$100,000 more than one per  | son; \$10,000 property    | destruction; \$50,000  |  |  |  |  |  |
| $\bigcirc$ A surety bond from a surety company with m  | inium coverage as specified in A.  |                           |                        |  |  |  |  |  |
| A certificate from the State Treasurer that the<br>\$100,000 in cash or securities.  | e licensee has deposited with the state, trust   | funds having market       | value of \$100,000 or  |  |  |  |  |  |
| I certify that I have read the above questions and   | d that the answers are true and correct of m   | y own knowledge.          |                        |  |  |  |  |  |
| Print name of applicant and title  | Signature of applicant   |                           | Date                   |  |  |  |  |  |
|  | REPORT BY POLICE\SHERIFF'S DEPARTMENT  |                           |                        |  |  |  |  |  |
| This is to certify that the applicant and the assoc<br>of laws of the State of Minnesota or municipal o  |  |                           | ears for any violation |  |  |  |  |  |
| Police/Sheriff's Department  | Title  | Signature                 |                        |  |  |  |  |  |
|  |  |                           |                        |  |  |  |  |  |

County Attorney's Signature

#### IMPORTANT NOTICE

All retail liquor licensees must have a current Federal Special Occupational Stamp. This stamp is issued by the Bureau of Alcohol, Tobacco, and Firearms. For information call (651) 726-0220