

City of Minneapolis Licenses and Consumer Services 350 South 5th Street – Room 1 Minneapolis, MN 55415-1391 Phone: 612-673-2080 Fax: 612-673-3399 TTY: 612-673-2157 www.minneapolismn.gov/business-licensing

License Application

For Office Use Only AP: BLDistill <u>MCO:</u> 362 Adm Issuance: No

Guidelines and Checklist

	Application Type: Distillery Cocktail Room					
340A.301 year) for	DEFINITION: A cocktail room is a facility on or adjacent to premises owned by a microdistillery (licensed under Minn. Stat. Section 340A.301 subdivision 6 (c) which produces premium, distilled spirits in total quantity not to exceed 40,000 proof gallons in a calendar year) for the sale and consumption of distilled spirits produced by the microdistillery. Sunday Sales are not permitted.					
Review o TWO: Aft the rema	This application is divided into two parts. PART ONE : Complete the items below and submit to the <u>Minneapolis Development</u> <u>Review</u> office. You will have an opportunity to discuss your application with Zoning and Environmental Health Inspectors. PART TWO : After staff review, your application will be sent to a License Inspector who will then contact you. At that time you may submit the remainder of your application (PART TWO) to the License Inspector. Incomplete applications will be returned. More information					
about ap	plying for a license is available on our website at <u>www.minneapolismn.gov/business-licensing</u> .					
	PART ONE					
Staff	APPLICATION CHECKLIST - COMPLETE AND SUBMIT FOR STAFF REVIEW					
Initials	Minneapolis Development Review 250 South 4 th Street, Room 300 - Minneapolis, MN 55415 Free Parking.					
	 License Application (Form #1) I. License Application (Form #1) I. Floor Plan: Attach an 8 1/2" by 11" copy of a floor plan/scaled diagram with square footage showing the design of the premises to be licensed. Include the location of the building(s), the portion of the building intended to be used, and both the interior and outdoor areas. See sample Form #2. 					
	 3. Equipment: Attach photos and copies of equipment specifications. This is required if you have a new kitchen or if you are adding or updating any equipment in your kitchen. N/A. No changes in equipment. 					
	4. Menu: Attach a copy of the menu and/or list of food items available for sale.					
	5. \$ Food Plan Review Fee					
	Additional Requirements					
	ederal Tax Stamp: You are required to complete the Department of Treasury Alcohol Dealer Registration and mail to: hol and Tobacco Tax and Trade Bureau, 550 Main Street, Suite 8002, Cincinnati, OH 45202.					
Ceda						
3. Cert	ified Food Manager : The <u>Minnesota Food Code</u> requires a food establishment to employ one full-time Certified I Manager within 45 days of opening.					
	License Application:					
b.						
	Minnesota Sales Tax ID Number or 651-296-6181.					
5. Info	f. If you are applying for multiple licenses, applications may be combined. Talk to License Staff at 300 Public Service Center.					
	PART TWO					
-	pleting the forms listed in PART TWO. After a License Inspector contacts you, submit them for review. Attach all documentation. e applications will be returned.					



Beverage Alcohol License Application

I. APPLICANT INFORMATION			
Legal Company Name) " °) " °			
Business Address	City	State	Zip Code
E-mail Address	Cell Phone Number	Business Telephor	ne Number
Name (Last, First MI)	Owner Officer Part	ner	
Mailing Address (If different than Business Address.)	City	State	Zip Code
Minnesota Sales Tax ID Number, Social Security Number, or Individu	ual Tax ID Number	1	I
Type of Ownership Corporation LLC Sole Proprietor Partnership Non-Profit	Date of Incorporation	State of Incorpora	tion
Is this business publicly traded? 🗌 Yes 🗌 No	Proposed Opening Date		
Name of Manager and Home Address		Date of Birth	
Name of Responsible Person w/in 75 miles		Telephone Numbe	er
Name of Person filling out the application	Name of Person filling out the application Telephone Number		
II. LICENSE INFORMATION			
Type of <u>License</u> : On Sale Off Sale Liquor Wine Charter Wine Strong Beer 3.2 Beer Cocktail Room Taproom Growler			
Type of Establishment: Restaurant Hotel Night Club			
Sunday Sales license? 🗌 Yes 🗌 No 🛛 If yes, check the food service	es available on Sundays.		
Full Food Menu Limited Menu with Short Order Service Grill and Sandwich Only			
Are you planning to operate Amusement Devices? 🗌 Yes 🗌 No If Yes, How Many?			
An additional <u>Amusement Devices License</u> may be required.			
	ering Liquor Catering Off Sale	Beer	
Other Licenses: Sidewalk Café Tobacco Dealer Food Catering Liquor Catering Off Sale Beer As an Applicant/Licensee, I am Adding a new business in a new building. (New Business) Adding a new license to an existing business (New License) Starting a new business in an existing building. (New Business) Taking over an existing business (New Owner) Name of Previous Tenant Name of existing business. Name of existing business Equipment Changes. Provide equipment information and photos. Remodeling Only			

January 2020

III. ENTER	TAINMENT		
Entertainment: Check the level of entertainment you are requesting	g. Describe below the specific entertainment you plan to offer on		
your premises. No Live Entertainment. No entertainment other than the use of radio, television, electronically reproduced music and jukebox. Describe below.			
Limited Entertainment: Entertainment limited to literary readings music by a disc jockey or any number of musicians, and group singing	s, storytelling, live solo comedians, karaoke, amplified or nonamplified by patrons of the establishment, with no patron dancing. Describe		
below. General Entertainment: All forms of legal entertainment and patr	on dancing. Describe below.		
Adult Entertainment: Persons who are unclothed or in attire/cost female genitals (nude or semi-nude). Describe below.			
Describe in detail the principal products and (or services rendered			
Describe in detail the principal products and/or services rendered.			
	RATIONS		
Is business over 5,000 sq ft.? Yes No If yes, how many faci INTERIOR	EXTERIOR		
Gross Square Footage for Business Use	Gross Square Footage for Business Use		
Seating Capacity Fire Occupancy			
INTERIOR Hours of Operation	Seating Capacity Total Customer Capacity EXTERIOR Hours of Operation		
Are you sharing the licensed premises with another other business?	Yes No If yes, describe.		
Are you sharing the incensed premises with another other business?			
Are you planning or have you completed any construction or remodeling? YES NO	Name of Contractor or Building Manager		
Explain the scope of the remodeling or construction.			
List any licenses you currently have or previously held in Minneapolis (Business or Individual).			
Have you ever had a business license denied or revoked by Minneapolis or			
If Yes, Indicate the Date of Denial/Revocation, Government Agency, and Re	ason for Denial or Revocation.		

V. OWNERS, PARTNERS, OFFICERS					
List all of the owners, officers, stockholders and/or partners. O	wnership must add up to 100%.	Attach additional she	eets if nece	essary.	
N/A – Corporation is publicly traded. Full Name: Last, First, Middle		Telephone	Title		Ownership
run Name. Last, riist, Midule		relephone	inte		%
Home Address		City	State	Zip	Date of Birth
					Ownership
Full Name: Last, First, Middle		Telephone	Title		%
Home Address		City	State	Zip	Date of Birth
		,		•	
Full Name: Last, First, Middle		Telephone	Title		Ownership
					%
			Chatta	 .	Date of Birth
Home Address		City	State	Zip	Date of Birth
Have any of the people listed above been convicted of a	crime? YES NO				
If Yes, please provide or attach specific information abou					
Does any person other than those named as owner, man	ager nartner or shareholder	share directly or in	directly i	a any profi	ts or in any
	NO If Yes, compete the follo	-	iun cetty n		to or in any
Name	Address				Date of Birth
Interest:					
Name	Address				Date of Birth
Interest:					
Individual or firm that provides bookkeeping or accounting		ısiness	[
Name	Address		Telepho	ne Numbe	er
Services:					
Do you agree to furnish the Minneapolis License Division	books of account that pertain	n to the operation	of the lice	nsed busir	ness?
	•	•			
Are there any delinquent taxes for this business? YES	NO				
Is any individual named in this application a member of a	governing body of the City o	f Minneapolis?	Yes 🗌 🛚	No – If yes,	complete
below. Name	Address		Coverni	ng Body	
Name	Address		Governi	ng bouy	
Name	Address		Governi	ng Body	
Name	Address		Governi	ng Body	
			1		

	VI. OFF DUTY POL	ICE OFFICERS	
Will you hire off-duty police officers a	at any time during the license yea	r? Yes No If yes, attach the	following to be effective during
the license period:			
Certificate of Liability Insurance (S			
have public liability or damages cover	• • • • • • • •		· · ·
occurrence and \$300,000 aggregate f			-
Certificate of the Workers Compe			
I agree that the city will be held h			t any claim or lawsuit against it
by reason of the licensee's employee	also being an off-duty city police	officer.	
	VII. WORKERS CO	MPENSATION	
Workers' Compensation Company	F	olicy Number	Dates of Coverage
Or I certify that I am not requi			
proprietor and I have no employees.			
specifically exempted by statute are i		-	e, parents, and children
regardless of age. All other workers v			
	VIII. CERTIFIED FO	OD MANAGER	
Name of Certified Food Manager			
	IX. VEHI	CLES	
Will there be vehicles used in the bus			
Year/Make/Model	Vehicle Company ID #	VIN Number	License Plate # / State
	X. VERIFIC	ATION	
The data you furnish on this applicati	on will be used by the City of Mir	neapolis to assess your qualificati	ons for licensure. Disclosure of
this information is voluntary. You are		· · ·	· · ·
may be unable to process this application			
Number is required by Minnesota Sta			-
Minnesota Commissioner of Revenue	• • •	ation, all information except your	Social Security Number will be
public information pursuant to Minne	esota Statutes, Chapter 13.		
	A SIGNATURE IS REQUIRED IN ORDEF	TO PROCESS THIS APPLICATION	
			and a state of the state of the
I, (print name), agree that my associates and I will strictly comply with all the laws of the			
State of Minnesota governing the taxation and sale of intoxicating liquor; the rules and regulations promulgated by the Liquor Control			
Commissioner; and all ordinances of the City of Minneapolis. I hereby certify or declare under penalty of perjury under the laws of the			
State of Minnesota that I have read and understand every question in this application and that the answer to every question and in all			
supplemental documents submitted on behalf of this application are true and correct to the best of my knowledge, information and belief. I further understand that the giving of false information in this application, regardless of when it is discovered, and or the failure			
to give required pertinent information constitutes cause for the immediate revocation of any and all licenses and/or permits issued			
hereunder and may be grounds for prosecution for perjury. All information given is subject to verification by the State of Minnesota.			
			-
SIGNATURE OF APPLICANT	TIT	LE	DATE

City of Minneapolis Licenses and Consumer Services 350 South 5th Street – Room 1 Minneapolis, MN 55415-1391 Phone: 612-673-2080 Fax: 612-673-3399 TTY: 612-673-2157 www.minneapolismn.gov/business-licensing

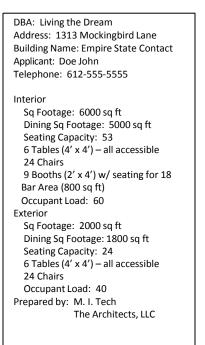
Floor Plan Standards

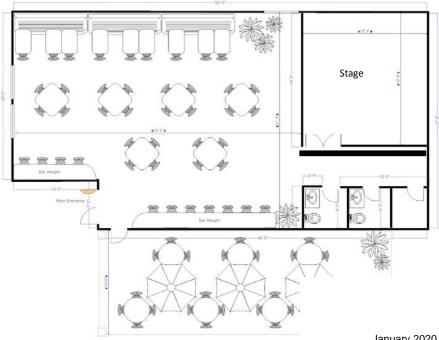
Every application for a restaurant or beverage alcohol license must include a floor plan. A sample is provided below. Attach an 8 ½" x 11" diagram of both your INTERIOR and EXTERIOR premises. Include dimensions. Hand drawn floor plans will be accepted if they are legible. Drawings for outdoor areas may be on a separate sheet. If the outdoor area is located on the public sidewalk, drawings must include additional features and a Sidewalk Café License is required. Include the following on your plan:

- 1. Business name (DBA), building name, address, contact person and telephone number
- 2. The function of the space including the dimensions and square footage of the area in which food will be served. Label mezzanine levels, fixed seating and egress convergence.
- 3. All doors, windows and other openings as well as any building feature requiring emergency access
- 4. The occupant load calculated by the designer
- 5. The number and size of tables
- 6. The number of chairs and their location to the tables. Seating capacity needs to consistent with the number of patrons stated in your license application.
- 7. Ensure that your total bar area does not exceed the area that is allowed for your type of license. Include square footage (no more than 30% or 20%). Bar Area: One or more spaces in an establishment designed and utilized primarily for the consumption of alcohol or providing entertainment. This space would include a dance floor area, stage, game room or any space that is undefined or does not provide for seated food service. Outdoor bar areas may be calculated separately from indoor bar areas and considerations may be made for outdoor sporting courts such as bocce ball, volleyball or similar features.

Outdoor Area Diagrams shall also include the following, in addition to the information above:

- 1. All outdoor areas accessible to and usable by building and non-building occupants including yards, patios, cafes, courts, dog areas, rooftops and other similar outdoor areas
- 2. Umbrellas, planters, stanchions, fences, lights, signs, etc.
- 3. Planted, groomed or landscaped areas adjacent to the outdoor area
- 4. Heating elements and location of storage area for gas cylinders
- 5. There must be 5% or a minimum of one table which is ADA accessible.
- 6. Access and Egress: Your business plan should describe how this will be controlled.







City of Minneapolis Licenses and Consumer Services 350 South 5th Street – Room 1 Minneapolis, MN 55415–1391 Phone: 612-673-2080 Fax: 612-673-3399 TTY: 612-673-2157 www.minneapolismn.gov/business-licensing

License Application

Guidelines and Checklist

	Application Type: Distillery Cocktail Room			
Staff	PART TWO			
Initials	APPLICANTS COMPLETE AND SUBMIT FOR LICENSE STAFF REVIEW			
	Licenses and Consumer Services 350 South 5 th Street – Room 1, Minneapolis, MN 55415–1391			
	Attach all documentation. Incomplete applications will be returned.			
	4. State of Minnesota Certification of an On-Sale Micro Distiller Cocktail Room License Application (Form #3)			
	5. <u>Personal Supplemental Affidavit</u> (Form #4) – This is required for the applicant; manager(s); and each owner, partner, officer and shareholder who owns 10% or more corporate stock unless the company is publicly traded.			
	6. Source of Funds for Beverage Alcohol – Complete Form #5 and attach supporting documents.			
	7. Business Plan for Beverage Alcohol (Form #6)			
	8. Police Security Plan Review (Form #7)			
	9. Noise Management Plan (Form #8)			
	10 . Certificate of Liquor Liability Insurance (Sample Form #9) This must be furnished by your Insurance Agent approximately two weeks before your Minneapolis license is approved.			
	11. Manager(s) must attach a Criminal History Report. A copy may be obtained from https://www.cch.state.mn.us			
	/New Criminal History or the State of Minnesota, Bureau of Criminal Apprehension, 1430 Maryland Ave E. St. Paul,			
	MN 651-793-2400. This report must be dated within 30 days of receipt of this application.			
	12. Attach a copy of the Lease Agreement, Bill of Sale, Purchase Agreement, Contract for Deed, Loan Agreements, and/or Promissory Notes for the business and/or building.			
	13. Attach a <u>Certificate of Assumed Name</u> from the Minnesota Secretary of State's Office (651-297-7067) if the legal			
	name of the company is different than the DBA (Doing Business As).			
	14. Attach Exact Legal Description of the premises to be licensed and documentation that Property Taxes are paid.			
	www.co.hennepin.mn.us /Property Information Search			
	15. Corporate Documentation – Attach the following:			
	Corporations OR Limited Liability Companies Certificate of Incorporation MN Secretary of State Certificate of Organization			
	Articles of Incorporation Inco			
	Meeting Minutes naming the current Directors and Officers Member Control Agreement with restriction on			
	Meeting minutes authorizing the purchase of stock transfer of membership interest*			
	Corporation By-laws with restriction on transfer of stock			
	Copy of stock certificates with restriction on stock*			
	*Stock Certificate(s) with Restriction on Stock: Minneapolis Code of Ordinances, Chapter 362.330(b) requires			
	Corporate By Laws, and by extension LLC Member Control Agreements, contain a restriction stating to the effect that			
	1) No transfer of stock is valid or effective unless approved by City Council of Minneapolis; and			
	2) All stock certificates will contain the following words, "The transfer of this stock certificate is invalid			
	unless approved by the City Council of Minneapolis, MN."			
	16. Notification of the type of license; address of premises; applicant's name, address and telephone number; and			
	Business Plan. Attach copies of letters or emails that have been sent to: City Council Member			
	Neighborhood Organization(s) and Business Association(s) See sample letter. 17. SAC Determination Letter – Complete the Sewer Availability Charge (SAC) application and Affidavit of Business See Sample letter.			
	Use (Form #10) and submit to <u>SACprogram@metc.state.mn.us</u> . Attach a copy of your SAC Determination Letter.			
	18. <u>2 am License</u> (optional) - Attach a copy of your 2 am license application which you will submit to the State of			
	Minnesota about two weeks before your Minneapolis license is approved. \square N/A I am not applying for a 2am license.			
	19.Total License Fee which will be verified by License Staff: \$ Investigation Fee \$ License Fee			
	\$\$ Sunday Sales \$ Other:\$Other:\$			



Minnesota Department of Public Safety Alcohol and Gambling Enforcement Division (AGED) 445 Minnesota Street, Suite 222, St. Paul, MN 55101 Telephone 651-201-7500 Fax 651-297-5259 TTY 651-282-6555

MUST BE A LICENSED MICRO DISTILLER IN ORDER TO APPLY FOR THIS LICENSE <u>Certification of an On Sale Micro Distiller Cocktail Room License and Sunday License</u> <u>This license only authorizes the on sale of Liquor produced by the distiller for consumption on the premises</u>

Cities and Counties: You are required by law to complete and sign form to certify the issuance of the following License types: **City issued Micro Distiller's Cocktail Room and Sunday Liquor Licenses**

City or Count	y Issuing Liquor Licens	se:	License Period	d From:	To:
Circle One:	New License Transfe	er (Former Licensee Name)	Suspension	Revocation	Cancel (Give Dates)
Fees: On Sal	e Cocktail Room Licen	se Fee: \$	Sunday Licens	e Fee: \$	
License Name	2: (Corporation, Partners)		DOB	Social Securit	y #
Business Trad			_Business Address		City
Zip Code	County	Business Pho	one	Home I	Phone
Home Addres	SS	City	Zip Code _		
Licensee's MI	N Tax ID #	Lice	ensee's Federal Tax II) #	
If above name	ed licensee is a corpor	ration, partnership, or	LLC complete the foll	owing for each p	artner/officer:
Partner/Officer Na	ame (First Middle Last)	DOB	Social Security #		Home address

Partner/Officer Name (First Middle Last)	DOB	Social Security #	Home address
Partner/Officer Name (First Middle Last)	DOB	Social Security #	Home address
Partner/Officer Name (First Middle Last)	DOB	Social Security #	Home address

On Sale Cocktail Room licensees must attach a certificate of Liquor Liability Insurance to this form. The Insurance Certificate **Must contain** all of the following:

- 1) Show the exact licensee name (Corporation, partnership, LLC, etc.) and business address of the location listed on the license.
- 2) Cover completely the license period set by the local city or county licensing authority as shown on the license.

Circle One: YES NO During the last year has a summons been issued to the licensee under the Civil Liquor Liability Law? Workers Compensation Insurance is also required by all licensees: Please complete the following:

Date

Workers Compensation Insurance Company Name: ______ Policy # ______

I Certify that this license(s) has been approved in an official meeting by the governing body of the city or county.

City Clerk or County Auditor Signature ____



City of Minneapolis Licenses and Consumer Services 350 South 5th Street – Room 1C Minneapolis, MN 55415–1391 Phone: 612-673-2080 Fax: 612-673-3399 TTY: 612-673-2157 www.minneapolismn.gov/business-licensing

Personal Supplemental Affidavit – New Alcohol License Applications

This form must be completed by each of the following with a copy of driver's license or government issued photo ID attached. Applicant

Manager(s)

Owners, Partners, Directors, Officers, and Shareholders who own 10% or more of corporate stock unless the company is publicly traded.

BACKGROUND INFORMATION						
Legal Corporate Name of Establishment	Trade Name of Business (DBA)					
Street Address of Licensed Premises	Zip Code	Zip Code Business Phone		Individual's Cell Phone		
Your Name (First, Middle, Last)	Place of Birth (C	ity, State)			Date of Birth	
Residential Street Address	City			State	Zip Code	
Social Security Number (SSN) or Individual Tax Identification Number (ITIN)	First, middle, or	last name	es you ha	ive ever i	used or been kn	iown by
email address	Title				% of ownersh	ip
List your Residences for the past Ten	(10) Years – Attac	h additio	nal shee	ts if nece	essary	
Street Address	City		State	Zip	From	То
List Name and Address or Employer and Occupations						-
Employer and Occupation	Street Address a	ind City	State	Zip	From	То
SPOUSE'S INFORMATION						
Spouse's NamePlace of Birth (City, State)Date of Birth		of Birth				
First, middle, or last names your spouse has ever used or bee	en known by					
Spouse's Residential Street Address	City			State	Zip Code	

L	CENSE HISTORY	
Have you ever been employed by a restaurant, bar, or othe Name Address		Yes No If yes, te Zip From To
Have you or your spouse held a City of Minneapolis Busine Type of License	s License? 🔄 Yes 🔄 No If yes,	From To
Have you or your spouse ever had a liquor, wine, or beer live Revoked or suspended? Yes No New or renewal lice		ny government entity?) If yes, explain.
Do you have a business or financial interest in a liquor man If yes, please indicate name and address :	ufacturing, brewery, wholesaler or	off sale retail license? Yes No
Have you or your spouse ever been convicted of any ordina gross misdemeanor, or felony? This includes both civil and local, and federal offenses. Do not include parking violation	criminal offenses, including Liquor s Yes No If yes,	Control penalties. This includes state,
Offense Fine/Penalty	City	State Date
Do you or your spouse have any delinquent personal or bu Date filed: Address:		ounty: State:
Representative of the City of Minneapolis will make inquiry	of person or firms named in this a	/
firms authorized to release information to such representa	ive? Yes No PRIVACY ADVISORY	
The Minnesota Data Practices Act requires that you be advised of the following information. As part of this application, you are asked to provide private and/or confidential information about yourself that will be used to check driving history, criminal history, arrest records, warrant information, and other relevant records. You may refuse to provide this information. However, should you refuse, our investigation cannot be completed and will result in your application not being processed. The information you provide is public and will be used by the Minneapolis Police Department, License Inspection Unit and/or the Minneapolis Division of Licenses and Consumer Services, the Minneapolis City Council, and the general public.		
This AUTHORIZATION FOR RELEASE OF INFO	RMATION will expire two years fro	om the date you signed it.
Individual		
Last Name First Name	Middle Name	
Also Known As		
	ND THE ABOVE DATA PRACTICES A	
Signature		
	VERIFICATION	
The data which you furnish on this application will be used by the information is voluntary. You are not legally required to provide process this application. Disclosure of your Social Security number your Social Security number may be requested by and released to information except your Social Security Number will be public information.	his data, however if you fail to do so, t r or Individual Tax ID Number is require the Minnesota Commissioner of Rever	he City of Minneapolis may be unable to ed by Minnesota Statutes 270C.72 and nue. After submitting this application, all
I will strictly comply with all the laws of the State of Minnesota gor regulations promulgated by the Liquor Control Commissioner; ar understand every question in this application and that the answe understand that the giving of false information in this application information constitutes cause for the immediate revocation of an prosecution for perjury.	d all ordinances of the City of Minneap to every question is true of my knowle , regardless of when it is discovered, ar y and all licenses and/or permits issued	bolis. I hereby certify that I have read and edge, information, and belief. I further nd/or the failure to give required pertinent d hereunder and may be ground for
	IN ORDER TO PROCESS THIS APPLICA	
I, (print name), ce Minnesota that the foregoing is true and correct. All info		
SIGNATURE		DATE



SOURCE OF FUNDS STATEMENT - APPLICANT'S INFORMATION SHEET

Documenting the source of funds for the business venture is one of the more critical aspects of completing a license application. It is important that all financial information related to business start-up is completely documented and verifiable by the City of Minneapolis. Applications will not be processed without complete information about the costs and source of funds for your proposed business.

ATTACH DOCUMENTATION FOR ALL SOURCES OF YOUR FINANCING.

1. Tax Records - REQUIRED

Attach two years of completed and filed 1040 federal tax forms for each applicant and individual providing funding for the business venture OR Corporate tax records, if applicable.

2. Costs Reporting Form - REQUIRED

Attach the Costs Reporting Form on the next page. City staff has the right to request documentation for listed expenses/revenues as well as any unlisted expenses/revenues they feel is related to this application.

3. Funds from Savings/Investments/Corporate Holdings – REQUIRED

Attach copies of three months of full official bank statements that show the money being used is available in the first month's statement that is provided.

Alcohol Establishments: Must additionally attach copies of three months of full official bank statements from twelve months prior to the first month's bank statement that is provided.

4. Loans from the Lending Institution

Attach a copy of the loan closing document that clearly sets forth the amount being tendered to the borrower and a copy of any accompanying promissory note; OR

Individuals may be eligible for a loan but approval may be delayed until a license is granted. In instances such as this, a letter of loan commitment from the lending institution setting forth the amount of the loan must be submitted along with a pledge from the applicant that the loan closing documentation shall be submitted upon its completion. A license will not be issued until a copy of the loan closing document is given to the Licenses staff. The business cannot operate until this is completed and approved.
 N/A

5. Loans from Individuals - Many times applicants obtain personal loans from relatives or other individuals. In cases such as these, the loaning individual must provide the same documentation of the source(s) of these funds as required by the license applicant. For example, if an individual receives a \$10,000 loan from their parents, the applicant must attach the source of the parent's \$10,000 as

well

as tax records.

Attach a copy of each lender's source of funds and tax records; AND

Attach a copy of the loan closing document(s) and/or copies of any accompanying promissory note(s); AND

If the lender is not an owner of the business, applicants must provide a notarized statement regarding the terms of the loan; that the lender has no operational, financial or management interest in the business; the terms of the loan are independent of the business; and at no time in the future will the lender have a financial, operational, or management interest in the business. Any such involvement in the business will only be lawful if the lender and licensee go through the appropriate city licensing process.
 N/A

- 6. Landlord Construction or other Credit/Financing A landlord providing construction or financing will be required to show the same documentation of the source of these funds as the license applicant. If funds are taken from a business account, city staff can accept corporate account statements in lieu of the landlord's personal accounts.
 - Attach a copy of the loan closing document(s) and copies of any accompanying promissory note(s); AND
 - Attach a statement about payment terms.

N/A
I (printed name) ______understand that city staff have the right to request other documentation they feel is necessary to properly verify the source of funds for the business venture. Failure to document costs or the source of funds for expenses will result in the denial of this license application. Any errors detected after the issuance of the license may be grounds for license revocation. After approval by the City Council, documentation in this license file becomes public data and is open for review by anyone upon request. Public data includes, but is not limited to, financial statements, tax records and other personal records contained in the license file. Public data will not include Social Security numbers and account numbers.



City of Minneapolis Licenses and Consumer Services 350 South 5th Street – Room 1 Minneapolis, MN 55415–1391 Phone: 612-673-3001 Fax: 612-673-3399 TTY: 612-673-2157 www.minneapolismn.gov/business-licensing

An applicant must report all costs and fund sources associated with pursuing this license in order to demonstrate adequate legal sources of funds. Typical expenses include asset purchases, licensing fees, insurance costs, down payments, remodeling fees and attorney's fees, to name a few. Please use the table below to account for **all** of your specific costs and sources of funds. Attach additional sheets if necessary.

APPLICANT'S NAME:	BUSINESS NAME:		
Building Expenses (lease	Building Expenses (lease, equipment purchases, down payments, asset agreement, etc.)		
\$	_for	_	
\$	_for	Subtotal \$	
Construction Expenses	(upgrading cooking equipment, installation,	remodeling, etc.)	
\$	_for	_	
\$	_for	Subtotal \$	
Professional Expenses	(attorney fees, architect fees, consultant fees	s, etc.)	
\$	_for	_	
\$	_for	_ Subtotal \$	
Start Up Costs (insuran	ice, license fees, inventory, etc.)		
\$	_for	_	
\$	_for	Subtotal \$	
	ll, insurance, SAC charges, other)		
\$	_for	_	
\$	_for	Subtotal \$	
TOTAL COSTS for pursu	TOTAL COSTS for pursuing this License: \$		

Attach plans, leases, contracts, statements from vendors or credit institutions and other documentation you have to support the above figures.

Complete and submit with your license application. Sample listed below.

APPLICANT'S NAME:BUSINESS NAME (DBA):					
Total Cost to Start the Business (As listed above.)					
Fund Source	Amount	Documentation Attached			
TOTAL:					
	•				
APPLICANT'S NAME: A. A. Smith BUSINESS NAME (DBA): The Company Business					
Total Cost to Start the Business (As listed above.) \$ 30,000					
Fund Source	Amount	Documentation Attached			
Savings Account Money	\$10,000	Bank Statements from Jan, Feb, Mar 2013 and 2014			
Bank Loan	\$10,000	Loan Closing Documents from First Bank and Trust			
Loan from Parents	\$10,000	Stock Dividend Statement 2013 and 2014; Tax Records 2013 and 2014; Promissory			
		Note; Notarized Statement of Loan Terms.			
TOTAL:	\$30,000				



Business Plan - Establishments with Beverage Alcohol

The Minneapolis Code of Ordinances (MCO), Chapters 259.30 and 362.120, requires applicants to provide a business plan that sets forth, in detail, the way the licensed business will be operated. Attach a typed report that includes all the following items. Additional and/or separate documents may be attached to this report.

A. Alcohol Server Training Plan

Describe staff training that includes:

- Name of trainer
- Topics covered
- Ongoing training program
- Policy for carding and the use of electronic <u>ID Scanners</u>
- Reward and discipline policy for serving alcohol to minors and
- Self-audits.
- Here are some links to alcohol server training resources: <u>Alcohol Service Plans</u>, <u>Training Programs</u>, and ID scanners.

B. Police Department Security Plan

Complete and attach a signed Police Department Security Plan Review (attached) and any supporting documentation. The local Police Precinct will review the security portion of your business plan which addresses how you will take appropriate action to prevent illegal conduct from anyone on your business premises and/or parking areas. Here is a link with guidelines to <u>Develop a Security Plan</u>.

C. Noise Management Plan

Attach a Noise Management Plan and any supporting documentation using the requirements listed on attached document which describes how you will address potential noise issues.

D. Entertainment

- Prepare a detailed statement of the nature of entertainment presented in your establishment
- Days and hours of the entertainment and
- The age group at which the entertainment is directed.

E. Community Impact Plan

Describe how your establishment will be proactive in preventing negative secondary effects directly attributable to the existence of the business.

Describe how the applicant will maintain the orderly appearance and operation of the premises with respect to litter, graffiti and refuse control. Include hours staff will be assigned.

Indicate the types of teams you may sponsor: softball, broomball, soccer, rugby, football, or other competitive sports.

F. Hours of Operation

- Specify the hours for every day of the week
- Include both inside and outside hours.

G. Food Service

- List all food (menu with prices) that you will prepare and/or serve
- Include hours of full food service and reduced food service.
- Include the staffing model of the kitchen service.
- Describe Kitchen, Bar and Cooking Equipment; and/or attach Food Plan Review.

H. Charitable Gambling Activities

Identify the types of games, hours, gambling manager and name of charity.

- I. Applicant's Experience and Background with Liquor, Restaurant or Retail Sales
 - Include a resume or summary of work experience.
- J. Promoters If you will work with promoters, you must have a written signed contract that includes the following:
 - Statement of truth in advertising
 - Cancellation rights if contract is not followed
 - Promoter contact information.
 - Submit a sample contract. Signed contracts will be made available to licensing official upon request.

K. Advertising

Attach a copy of all the sites you will advertise, such as social media, website, flyers, coupons, table tents, etc.

ACKNOWLEDGEMENT AND AGREEMENT

I, (print name) ______, an authorized corporate officer, partner or

owner, hereby acknowledge and agree to the following:

the attached business plan addresses all items listed above, includes complete documentation, and is a true and correct reflection of the undersigned's intentions;

any material change in the business plan must be submitted to and approved by the Minneapolis City Council before implementation;

violation of this business plan may result in suspension, revocation, or refusal to renew the license or in a civil fine as determined by the Minneapolis City Council.

Signature	Title	Date
		Bate



Police Department Security Plan Review For Alcohol Establishments and Extended Hours Licenses

THIS PORTION TO BE COMPLETED BY APPLICANT

Name of Establishment: ______ Address: ______

Contact Person:

Phone Number:_____

- 1. Contact your Precinct Commander to schedule a meeting.
- 2. You must include copies of your License Application (Form 1), Business Plan (Form 7) and Security Plan (Form 9) with this form.

THIS PORTION TO BE COMPLETED BY MPD

Listed below are recommendations discussed by the Minneapolis Police Department and the License Applicant which are applicable to the proposed business operations. All items checked should be added into the Security Plan portion of your Business Plan document for submittal with your license application.

The licensee shall provide sufficient staff devot	ted exclusively to security related duties t	o protect the	well being and safety
of patrons, employees and the general public. The	e security staff shall be distinctly clothed t	o make their a	ppearance and
function easily recognizable.			
The licensee shall designate an employee as he	ead of the security staff. The designated	employee may	be the onsite
manager.			
The licensee shall provide a plan that discusses	s how they will prevent over occupancy a	t their establis	hment.
The licensee shall provide a mobile phone num	nber to the appropriate Police Precinct fo	r prompt comr	nunication in the
event of a disturbance.			
Security staff shall be utilized to ensure that pa	atrons who have exited the premises and	others do not	loiter on the public
sidewalk or the licensee's parking areas.			
The licensee shall compile, maintain and enfor	•		•
persons. This list shall be shared with Minneapolis		-	
All persons seeking to gain entrance to the est	•	lished Hennep	in County curfew
times, shall be required to present legitimate ident			
Upon request, the licensee shall meet represent	ntatives of the City of Minneapolis to disc	uss any safety	, security or
operational concerns.			
See the attached Precinct Security Checklist.			
Additional Comments:			
Police Dept. Representative	Signature	Badge #	Date
	~		
Applicant Signature		Date	

The Minneapolis Police Department does not approve security plans or endorse license applicants or applications.



City of Minneapolis Licenses and Consumer Services 350 South 5th Street – Room 1 Minneapolis, MN 55415–1391 Phone: 612-673-2080 Fax: 612-673-3399 TTY: 612-673-2157 www.minneapolismn.gov/business-licensing

Noise Management Plan Requirements

The City of Minneapolis would like your assistance in striking the balance between entertainment in our vibrant communities with our residents' right to peace and quiet. The following items are recommended by the City of Minneapolis to help you develop an effective noise management plan to protect your patrons, neighboring community, and business. Not all questions may be applicable to your business operation. All applicable items should be added into the Noise Management Plan of your Business Plan document for submittal with your license application.

1. Speakers

Describe how speakers be positioned to minimize, deflect or absorb excessive noise. List what time will music be turned down and what time speakers will be turned off.

2. Closing Time

Describe how you plan to alter lights and music, end alcohol service, and inform customers in advance of closing time. Describe how you plan to remind patrons to lower their voices to respect local residents when leaving your establishment and actions for dealing with unruly customers.

Describe how you plan to prevent loitering around your establishment and in the parking lot.

3. Equipment

Describe any sound metering equipment and/or music systems with self regulators you have and how you intend to utilize them.

4. Role of Staff

Describe training and job expectations related to noise management for managers and/or supervisors and other staff including bartenders, hosts, and servers.

5. Special Events

Describe noise management plans for special events held at your establishment or in the city.

6. Complaints

Describe how you will address excessive noise complaints.

Outdoor Areas

1. Speakers

Describe how speakers be positioned to minimize, deflect or absorb excessive noise. Describe how low frequency music beats will be minimized. List what time will music be turned down and what time speakers will be turned off.

2. Capacity

List the capacity of your outdoor area. Describe how you will manage the area to prevent over occupancy.

3. Seat Location

Describe how the seating design will minimize or deflect excessive noise.

4. Closing Time

Describe how you plan to alter lights and music, end alcohol service, and inform customers in advance of closing time. List what time you will seat your last patrons and what time patrons will be asked to leave. Describe how you will encourage patrons to utilize parking facilities and taxicabs instead of parking in residential streets.

5. Patron Noise

Describe plans for monitoring, controlling, relocating, and/or removing noisy patrons or unruly customers.

6. Role of Staff

Describe management/ supervisory staff duties including frequency of security staff making rounds. Describe community outreach efforts such as attending neighborhood association meetings, downtown LINC meetings, etc.

7. Complaints

Describe how you will address excessive noise complaints, including having a phone number other than the establishment main phone number residents may call to discuss noise concerns.

8. Architectural Design or Enhancements

Describe the use of sound blocking walls/fences and how you plan to direct noise toward unoccupied buildings.

Additional Resources

If you answer Yes to two or more of the following questions, send an email to <u>EnvServicesInfo@minneapolismn.gov</u> or call 612-673-3867 or for more information and resources about noise abatement solutions.

1. Do you plan to use an outdoor area? 🗌 Yes 🗌 No
2. Is your seating capacity over 200 people? 🗌 Yes 🗌 No
3. Will you have amplified sound? Yes No
4. Are you located in a residential area? 🗌 Yes 🗌 No
5. Is your mechanical equipment located within 100 feet of a residential area? 🗌 Yes 🗌 No
6. Do you have an established routine maintenance schedule for mechanical equipment? 🗌 Yes 🗌 No
7. Do patrons tend to all leave at closing time? Yes No
8. Do customers park in residential areas? 🗌 Yes 🗌 No
9. Have you received complaints about excessive noise? 🗌 Yes 🗌 No

10. Are you interested in learning more about noise management plans, sound engineers, sound meters, and/or products to help measure and regulate noise? 🗌 Yes 🗌 No

CERTIFICATE OF LIABILITY INSURANCE

Certificate cannot be pending, binder or TBA.

> The Legal/Corporate name must match exactly (word for word) to the Approved License Name (including Inc. or LLC), Trade Name (DBA), and address of premises.

Minnesota Statute 340A.409: Liquor liability insurance policy number must be included on certificate with coverage dates identical to license period or must state: "Coverage is continuous until cancelled."

> Personal Injury or Death: \$50,000/\$100,000

> > Property Damage: \$10,000

Other Pecuniary Loss: \$50,000/\$100,000

Original signature or stamp of agent.

Loss of Means of Support: \$50,000/\$100,000

IMPORTANT: If the certificate holde terms and conditions of the policy, certificate holder in lieu of such end PRODUCER	certain policies may require an	endorsement. A statement of	ed. If SUBROGATION IS WAIVED, a on this certificate does not confer	
		CONTACT NAME: PHONE	EAV	
Agency		(A/C, No. Ext):	FAX (A/C, No):	
Address		E-MAIL ADDRESS:		
City, State, Zip		INSURER(S)	AFFORDING COVERAGE	NAIC #
		INSURER A :		
NSURED		INSURER B :		
		INSURER C :		
		INSURER D :		
			march a strongener	
		INSURER E :		
20/501050		INSURER F :		.l
	RTIFICATE NUMBER:		REVISION NUMBER:	
THIS IS TO CERTIFY THAT THE POLICI INDICATED. NOTWITHSTANDING ANY CERTIFICATE MAY BE ISSUED OR MA EXCLUSIONS AND CONDITIONS OF SUC	REQUIREMENT, TERM OR CONDIT Y PERTAIN, THE INSURANCE AFFO H POLICIES. LIMITS SHOWN MAY HA	ION OF ANY CONTRACT OR OT ORDED BY THE POLICIES DESC AVE BEEN REDUCED BY PAID CL	THER DOCUMENT WITH RESPECT TO CRIBED HEREIN IS SUBJECT TO ALL	WHICH TH
TR TYPE OF INSURANCE	ADDLISUBR INSR WVD POLICY NUMBER	R POLIC POLICY	LIMITS	
GENERAL LIABILITY			EACH CURRENCE \$	
COMMERCIAL GENERAL LIABILITY			E TO RENTED MISES (Ea occurrence) \$	
CLAIMS-MADE OCCUR			MED EXP (Any one person) \$	
		5 ()	PERSONAL & ADV INJURY \$	
GEN'L AGGREGATE LIMIT APPLIES PER:			PRODUCTS - COMP/OP AGG \$	
POLICY PRO- JECT LOC			\$ COMBINED SINGLE LIMIT	
AUTOMOBILE LIABILITY			(Ea accident) \$	
ANY AUTO			BODILY INJURY (Per person) \$	
ALL OWNED AUTOS			BODILY INJURY (Per accident) \$	
HIRED AUTOS			PROPERTY DAMAGE \$ (Per accident)	
			s	
UMBRELLA LIAB			EACH OCCURRENCE \$	
EXCESS LIAB CLAIMS-MAD			AGGREGATE \$	
Control to C				
DED RETENTION \$			WC STATU- OTH-	
AND EMPLOYERS' LIABILITY	N		TORY LIMITS ER	
ANY PROPRIETOR/PARTNER/EXECUTIVE	N/A		E.L. EACH ACCIDENT \$	
(Mandatory in NH)			E.L. DISEASE - EA EMPLOYEE \$	
If yes, describe under DESCRIPTION OF OPERATIONS below			E.L. DISEASE - POLICY LIMIT \$	
ESCRIPTION OF OPERATIONS / LOCATIONS / VEH	CLES (Attach ACORD 101, Additional Rema	rks Schedule, if more space is required)		
ERTIFICATE HOLDER		CANCELLATION		
ADDITIONAL INSURED: City of Minneapolis – License 350 South 5 th Street, Room 1		SHOULD ANY OF THE ABO	VE DESCRIBED POLICIES BE CANCEL THEREOF, NOTICE WILL BE DI POLICY PROVISIONS.	
Minneapolis, MN 55415	City Hall	AUTHORIZED REPRESENTATIVE		
winneapons, WIN 55415				
		`		

Applications will be returned if requirements are not complete.



Sewer Availability Charge (SAC) 2017 DETERMINATION APPLICATION

Return to: SACprogram@metc.state.mn.us

If filling form out by hand, you must print clearly. We will reject incomplete or illegible forms.

CURRENT PROJECT INFORMATION (You must fill in all answers)

Business Name:
Type of Business:
Estimated Year of Occupancy:
Site Address (if address not assigned, need street intersections):
Suite Number:
City Name:
Site Location / Campus (e.g. Mall of America; etc.):
Parcel Identification Number (PID):
Original Building Construction Year:
Project Description:

PREVIOUS SITE/BUSINESS INFORMATION (You must fill in all answers if there was a different business previously in this suite/building)

Previous Business Name in same space as current project:
Previous Type of Business:
Estimated Year(s) of Occupancy:
Previous Site Address (if different than current project):
Previous Suite Number (if different than current project):
Entire Building Has Been or Will Be Demolished? (Check no or yes) No or Yes, Year

CONTACT INFORMATION (You must fill in all answers)

Contact Name for Questions and Copy of Determination:
Company Name:
Contact Phone Number (xxx-xxx-xxxx):
Contact Email Address:



Sewer Availability Charge (SAC) 2017 DETERMINATION APPLICATION INSTRUCTIONS & CHECKLIST

APPLICATION INSTRUCTIONS

- 1. Business Name and Type of Business Name of the business that the SAC determination calculation is for and the type of business it is. (e.g. office, apartment, learning center, retail, clinic, etc.)
- 2. Estimated Year of Occupancy What year did (or will) this business move into this space?
- 3. Site Location/Campus The name of the building, such as Mall of America, Centennial Lakes, City Centre, etc.
- 4. **Parcel Identification Number** This is a unique number assigned by the County for the specific property where the building is located. If you don't know this information, you will need to contact the County, County website, City or property owner to get it. This helps us identify exactly where the property is located on a map.
- 5. **Original Construction Year** When the building was originally built. If you don't know this information, you will need to contact the County, County website, City or property owner to get it.
- 6. **Project Description** Describe the specific work you are doing at the property so that the SAC charges are assessed correctly.
- 7. **Previous Site/Business** This section helps identify potential SAC credits that could lower your SAC charges. Enter the previous business name, type of business, and estimated years of occupancy that the new business will be taking over. If the previous address and/or suite number is different than the current address and/or suite number, enter this information.
- 8. **Contact Information -** This is the person the SAC Technician will contact if there are any questions. A copy of the determination letter will also be sent to this person.
- 9. Save this form and email with the other items from the list below.

ITEMS YOU ARE REQUIRED TO SUBMIT

- 1. SAC Determination Application (Transmittal-A)
- 2. Site Plan If not available, an aerial photo pinpointing the location of the building will be accepted
- 3. Architectural Floor Plans must be:
 - a. Same plan that you sent to your City for their review
 - b. Scalable, or with individual dimensions shown on the plan for every room and every space
 - c. All rooms labeled on the plan for the intended use of the space, or room schedule
 - d. Furniture plan (for restaurant, salon, bar, theater, stadium/arena seats) include indoor and outdoor
 - e. Plumbing fixture layout (for clinic, hospital, parking garage)
- 4. Additional Transmittal or Affidavit forms –Please review Transmittal-B, Affidavit-A, Affidavit-B or Reclaim forms to see if they apply to your specific project. Fill out all those that apply.

ADDITIONAL ITEMS THAT MAY BE REQUESTED FOR REVIEW

- 1. Building Tenant Layout Plan or drawing showing the location of the current business in the whole building
- 2. Demolition Floor Plans This helps identify the previous use to determine potential credits. Must be:
 - a. Scalable, or with individual dimensions shown on the plan for every room and every space
 - b. All rooms labeled on the plan for the previous use of the space, or room schedule



Sewer Availability Charge (SAC) 2017 AFFIDAVIT OF BUSINESS USE FOOD AND DRINK ESTABLISHMENTS

YOU MUST ANSWER ALL QUESTIONS OR WE WILL REJECT THE APPLICATION.

Business Name:		
Business Site Address:		
City Name:		
PLEASE MARK ALL BOXES THAT ARE TRU	E ABOUT YOUR BUSINESS WITI	HAN X.
Type of Service Provided		
We Handle and Prepare Food, a	nd Have Customer Seating:	
□ Yes	🗆 No	
We Serve Drinks Only (We Don'	t Handle Food) and We Have	Customer Seating:
□ Yes	🗆 No	
We Serve Take Out Food Only a	nd Have No Customer Seating	5
□ Yes	🗆 No	
Type of Seating Provided		
What Type of Seating Will the E	stablishment Have:	
□ Indoor Seating	□ Outdoor Seating	□ No Seating

If your business has any restrictions on consuming food or drink in any area of the property, you must submit a copy of the City-approved ordinance or City-issued business license stating the restriction(s) with this form.

I certify that I have read and understood all questions in this affidavit and that my answers are true to my knowledge and belief. I also understand that giving false answers in this affidavit is fraudulent, that my SAC fees will be recalculated, and I will be held responsible for any additional SAC fees.

Print Name of Business Owner:	
Signature of Business Owner:	

Date:	