

Staff Report

Agenda Item: Hawthorne Plan Modification Approval

Title: Phase I Modification #23 and Phase II Modification #5 Hawthorne Neighborhood Action Plans Staff Report

Action Discussion Informational

Date: July 9, 2019

Prepared By: Ariaah Fine - Neighborhood Specialist

Attachments: Hawthorne Neighborhood Plan Modification Form and Spreadsheet

Requested Action

Approve Plan Modification #23 to the Hawthorne Neighborhood Phase I Neighborhood Action Plan and Modification #5 to the Hawthorne Neighborhood Phase II Neighborhood Action Plan.

Background

This proposed plan modification will reallocate NRP funds from a Phase I strategy and contract which have been in place for twenty years (to acquire and demolish vacant housing) and has been largely inactive since 2011. This reallocation will put funds to use in currently active programs managed by HNC. The proposed plan modification will also reallocate program income and original Phase II funds from Phase II strategies which are inactive.

This reallocation of funds will allow HNC to focus on activities that are currently of higher priority to the community. HNC is currently addressing important community concerns such as community safety, the opioid crisis, affordable and energy efficient housing, and police community relations.

Discussion

The plan modification reallocates more than \$100,000 from the following:

- \$75,000 from Phase I strategy 1.1.3.B Acquisition/Demolition
- \$25,000 program income from Phase II strategy 1.1.1.A Rehabilitation
- \$38,000 from Phase II 1.1.7.A Lowry Corridor Housing Developments

Those funds total \$138,000 moving to Phase II 6.1.1.B Plan Implementation.

For reasonable accommodations or alternative formats please contact the Neighborhood and Community Relations Department at 612-673-3737. People who are deaf or hard of hearing can use a relay service to call 311 at 612-673-3000. TTY users call 612-673-2157 or 612-673-2626.

Para asistencia 612-673-2700 - Rau kev pab 612-673-2800 - Hadio aad Caawimaad u baahantahay 612-673-3500.

Recommendation

We have reviewed the proposed Action Plan modifications, and have found that:

1. The modification moves more than \$100,000 between existing Phase I and Phase II strategies to an existing Phase II Strategy.
2. HNC has followed the process required by the NRP Policy on “Changing Approved Neighborhood Plans” (amended July 19, 2013).
3. The modification was approved by the HNC neighborhood board and community on May 15th. Proper notification was given via print newsletter and web.
4. The request is the result of lessons learned during implementation of the Neighborhood Action Plans and responds to the continuing and reaffirmed priorities of the neighborhood.
5. The proposed modification is an appropriate and reasonable use of the funds.
6. The proposed modification does not change the amount of NRP dollars committed to the neighborhood for its Neighborhood Action Plans.

Given these findings, we recommend the following resolutions to the NRP Policy Board for action at its July 9, 2019 meeting:

BE IT RESOLVED: That the Neighborhood Revitalization Program Policy Board hereby accepts and adopts Plan Modification #23 to the Hawthorne Phase I Neighborhood Action Plan and Modification #5 to the Hawthorne Phase II Neighborhood Action Plan.

NCR Approval/Modification Form

Neighborhood

Hawthorne

FOR NCR OFFICE USE

NCR staff review: _____ Ariañ Fine _____ date: 6/3/2019

PM Coordinator review: _____ date: _____

DFD review: _____ date: _____

NRP Phase I Modification #: 23

NRP Phase II Modification #: 5

CPP Phase III Modification #: _____

Plan Mod Form revised: 6/30/2015

Approval Process Checklist

On the checklist below please indicate the type of approval/modification you are requesting (Section IV, on the following page, will help you calculate the total dollars affected by this Plan Modification). This will determine the approval process the neighborhood must follow. See the Policy on "Changing Approved Neighborhood Plans " for more information.

Type of Modification (check all that apply)	21 Day Notice	Neigh. Bd. Vote	Broad-based Support	Legal Review	Final Approval
Makes a minor language revision to an existing plan priority or strategy, rolls an existing Phase I strategy to the approved Phase II Plan or rolls an existing Phase II strategy to create a priority in a Neighborhood Priority Plan -- no funding reallocation	Those affected	Yes	No	No	NCR Director
Reallocates \$25,000 or less between existing plan priorities or strategies	Those affected	Yes	No	No	NCR Director
Reallocates between \$25,000 and \$100,000 between existing plan priorities or strategies	Broad notice	Yes	Yes	No	NCR Director
X Reallocates more than \$100,000 of the total plan allocation between existing priorities or strategies	Broad notice	Yes	Yes	No	NCR Director (may request PB)
Substantially revises an existing strategy	Broad notice	Yes	Yes	Yes	NCR Director (may request PB)
Creates a new priority or strategy within the total existing plan allocation (<u>regardless of the \$ amount</u>) (unless rolled forward from an existing priority or strategy from the previous Phase or Cycle)	Broad notice	Yes	Yes	Yes	PB & CC
Requests an increase in funding to the total existing NRP plan allocation	Broad notice	Yes	Yes	No	PB & CC

Approvals

Consistent with the policy on "Changing Approved Neighborhood Plans" (approved by the Minneapolis City Council July 19, 2013), approval is granted for the specific changes included in your request. Signature by the NCR Director, after all necessary approvals have been given, constitutes formal approval of your plan modification request.

NEIGHBORHOOD

Signed: see attached letter _____ Date: 5/14/2019

Title: _____

NEIGHBORHOOD BOARD APPROVAL Date: 5/15/2019

NEIGHBORHOOD AND COMMUNITY RELATIONS

Signed: _____ Date: _____

NCR Director

If required:

NRP POLICY BOARD Date: _____ **MPLS. CITY COUNCIL** Date: _____

Proposed Modification (Briefly describe the modification, indicating any required plan text changes -- if necessary, attach additional documentation):

Rationale for Modification (Briefly describe why the neighborhood is requesting the proposed modification):

Hawthorne Neighborhood Council requests a modification to the Hawthorne Neighborhood Action Plans to reallocate more than \$100,000 from the following:

- \$75,000 from Phase I strategy 1.1.3.B Acquisition/Demolition
 - \$25,000 program income from Phase II strategy 1.1.1.A Rehabilitation
 - \$38,000 from Phase II 1.1.7.A Lowry Corridor Housing Developments
- Add \$138,000 to Phase II 6.1.1.B Plan Implementation

The proposed reallocation shifts funds to a current priority for the organization to maintain current staffing levels.

Proposed Approval/Reallocation of Funds (List below any increases/decreases in funds for plan strategies that will result through a reallocation of funds under this approval/modification. Please consult with your NCR neighborhood specialist to complete this section):

Plan Location			Goal, Objective, Priority or Strategy	Year	Current Allocation	Proposed Change		Proposed New Allocation
Phase	Section	Page				+	-	
I	Housing		1.1.3.B Acquisition/Demolition	2001	\$364,280.63		\$75,000	\$289,280.63
II	Housing		1.1.1.A Rehabilitation	Program Income	\$282,468.25		\$25,000	\$257,468.25
II	Housing		1.1.7.A Lowry Corridor Housing Developments	Ph I RO	\$50,000.00		\$38,000	\$12,000.00
II	Plan Imp		6.1.1.B Plan Implementation	2011	\$250,819.50	\$75,000		\$325,819.50
II	Plan Imp		6.1.1.B Plan Implementation	PI	\$0	\$25,000		\$25,000
II	Plan Imp		6.1.1.B Plan Implementation	Ph I RO	\$0	\$38,000		\$38,000
* Total Funds affected by request						\$138,000	\$138,000	
<i>Total Funds under "Proposed Change: (+)" should equal Total Funds under "Proposed Change: (-)"</i>								

Demonstration of Broad Neighborhood Support (If required by the policy on "Changing Approved Neighborhood, Plans" indicate by checking at least one of the boxes below how and when broad based neighborhood support was achieved. Attach appropriate documentation such as approved resolutions, sign-in sheets, meeting notices, copy of survey/interview, survey results, etc.):

- Neighborhood-Wide Meeting** (with twenty-one day notice) Date May 9, 2019 **Three or More Focus Groups** (representative of neighborhood) Dates _____
- Survey** (with min. 20% response of all households) Date _____ **Random Interview/Survey** (min. 350 households, 75% response) Date _____

Proposed Approval/Reallocation of Funds (List below any increases/decreases in funds for plan strategies that will result through a reallocation of funds under this approval/modification. Please consult with your NCR neighborhood specialist to complete this section):

HAWTHORNE NEIGHBORHOOD NRP ACTION PLAN

ACTIVITY	1996-Early Access		1997-First Step		1998-Early Access		1999-Action Plan		2000		2001		2002		2003		2004		96-04 NRP TOTAL	PROGRAM INCOME	OTHER FUNDS	CHANGES
	NRP	NRP	NRP	NRP	NRP	NRP	NRP	NRP	NRP	NRP	NRP	NRP	NRP	NRP	NRP	NRP	NRP					
	HOUSING	OTHER	HOUSING	OTHER	HOUSING	OTHER	HOUSING	OTHER	HOUSING	OTHER	HOUSING	OTHER	HOUSING	OTHER	HOUSING	OTHER						
HOUSING & NEIGHBORHOOD APPEARANCE (page 4)																						
1.1.A. Home Improvement Grant Program			176,925.49																176,925.49			17, 18
1.1.B. Home Improvement Loan Program	4,500				185,500		150,000		200,000		175,000								715,000	600,000		7, 13
1.2.A. Rental Property Owner Collaboration									0		0						10,000		10,000			15, 16
1.2.B. Rental Rehab Deferred Loans/Grants	6,861.31		20,000				50,000		113,138.69		50,000		50,000	50,000		50,000			340,000		270,000	13
1.2.C. Renter Assistance Program									10,000		10,000								20,000		50,000	13
1.3.A. 249 Demolitions	117,919.69																		117,919.69		157,881	1, 2, 13
1.3.B. Acquisition and Demolition of Properties											25,000								283,280.63	25,259.00		1, 2, 4, 6, 13, 18, 22, 23
1.4.D. Downpayment Assistance									50,000		50,000			50,000		0			150,000		300,000	10, 13
1.4.E. Construct New Single-Family Homes	169,072.62						50,000		100,000										319,072.62		2,190,000	2, 13, 18
1.4.F. Lowry Avenue Housing Development	5,000								0					10,000				10,000	25,000		3,500,000	4, 13, 18
1.4.G. General Mills Initiative																			0		3,000,000	
1.5.A. "Green and Clean" Program				8,123.34															8,123.34			5
1.5.B. Graffiti Removal		9,000		5,300						299.89									14,599.89			3, 5, 8, 11, 13, 16, 18
1.5.C. Marketing Campaign									38,000										38,000			3, 8, 11
1.5.E. Housing Staff Person					30,000			28,000	22,400		21,000		9,800			8,400			119,600			
JOB DEVELOPMENT, BUSINESS & THE ENVIRONMENT (page 12):																						
1.1.A. Employment Survey				5,000						1,937.91									6,937.91			12, 16
1.2.A. Expand Existing Employment Programs									0		0								0		75,000	7
1.3.A. Expand Youth Employment Programs		15,000		22,000															37,000		28,000	13
2.1.A. Business Assistance Programs				10,000															10,000		5,000	
2.2.A. Business Incubator Support						28,073.59					0								28,073.59	3,000,000		7, 13, 16
2.2.B. Equity Fund for Businesses											0								0			7, 12, 13
2.2.C. Technical Assistance to Businesses							35,500			3,751									39,251			13, 15, 16, 19, 20
3.1.A. West Broadway Improvements							125,000			20,000									145,000			8, 13, 19
3.1.B. Penn-Lowry Plan Implementation										5,000									5,000			8, 13, 16
4.1.A. Encourage New School in Hawthorne				795															795			5
5.1.A. 26th Avenue Greenway		4,600								40,000									44,600		1,000	13
5.1.B. Bike Path				1,000						5,500									6,500			14
5.2.A. Storm Water Runoff Prevention Projects										41,751									41,751			13, 15, 16
5.2.B. Environmental Initiatives										10,000									10,000			13
5.2.C. Technical Support to Environmental Initiatives												14,000				12,000			41,000			
5.2.D. Project XL																			0		25,000	
5.2.E. Environmental Communications (MCNC)																			1,500			16
CRIME PREVENTION AND FAMILY SAFETY (page 20):																						
1.1.A. Expand Activities at Farview Park				6,500			26,000		26,000		26,000		26,000		26,000		51,000		161,500			2, 13
1.1.B. "Tree House"/YATA Programs				10,000															10,000			
1.1.C. Expand Services and Resources										100,000		100,000		100,000					300,000			
1.2.A. Block Club Grants				23,500															43,500			13, 18
1.2.B. Block Club Staff Support							26,000		20,800		19,500		9,100		7,800				83,200			
1.3.A. Traffic Calming Measures									0										0			16
1.3.B. Improve Lighting at Public Facilities									7,719.74								5,000		12,719.74			9, 13, 15, 16, 18
1.4.A. Police Buy-Back				20,000			20,000												40,000			
1.4.B. Lowry Substation				462.21															462.21			16
1.4.C. Cell Phones for Park Staff				0															0			2
1.4.D. Restorative Justice										19,700									19,700			16
NEIGHBORHOOD ADMINISTRATION AND CAPACITY BUILDING (page 24):																						
1.1.A. Administrative Support *			32,319.45		61,426.41		50,749		302,701.77		71,220		68,875		56,515		26,990		670,796.63			5, 8, 13, 15, 16
1.1.B. Leadership Development									2,500		2,500		2,500		7,500				15,000			13
PHASE II PLAN																						
HOUSING AND NEIGHBORHOOD APPEARANCE																						
1.1.A. Rehabilitation Loan Program			73,074.51																73,074.51			18
1.2.A. Acquisition/Demolition/Rehabilitation	0.00																		0.00			18, 21
1.4.B. Construct New Single Family Homes	158,765.75																		158,765.75			18, 21
1.7.A. Lowry Corridor Housing Developments									12,000										12,000			18, 23
1.8.A. Marketing Strategy									5,000										5,000			18
1.8.B. Housing Staff Person	45,000																		45,000			18
CRIME AND SAFETY																						
1.1.B. Crime and Safety Committee Staff				5,000															5,000			18
1.3.A. Expand Activities at Farview Park										10,000									10,000			18
1.4.A. Improve Lighting										18,751									18,751			18
COMMUNITY AND COMMUNICATION																						
1.1.B. Accessibility Improvements							17,000												17,000			20
1.3.A. Communications							7,500												7,500			20
NEIGHBORHOOD ADMINISTRATION AND CAPACITY BUILDING																						
1.1.B. Plan Implementation										38,000		75,000							113,000			23
PHASE III ACTIVITIES																						
NEIGHBORHOOD PRIORITIES																						
1. Community Health Dialogues																			0	25,000.00		22
TOTAL																						
	771,400	28,600	300,000	150,000	185,500	274,500	278,000	174,500	550,539	639,161	331,000	308,220	119,800	218,475	58,400	147,815	20,000	26,990	4,582,900	50,259.00	13,201,881	
FIRST STEP APPROVED																						
	0			450,000															450,000			
EARLY ACCESS APPROVED																						
	800,000				460,000														1,260,000			
TOTAL ACTION PLAN REQUEST																						
	800,000			450,000	460,000		452,500		1,189,700		639,220		338,275		206,215		46,990		4,582,900			
ADMINISTRATIVE FUNDS IN PLAN																						
	0		32,319		68,926		50,749		343,202		148,720		71,375		64,015		26,990		806,297	17,599%		
ADMINISTRATIVE FUNDS FOR HOUSING																						
	0																		558,220			
TOTAL HOUSING ALLOCATION																						
																			3,172,858	69.23%		

* - Administrative Support strategy includes (1) \$15,000 originally allocated in the First Step plan to Housing Staff, and (2) \$13,000 originally allocated in the First Step plan to Newsletter.

NOTE: Allocations to activities within each year are subject to change depending upon timing and other project-related issues, but totals for each activity and for each year will not exceed approved levels.

HAWTHORNE NEIGHBORHOOD NRP ACTION PLAN

CHANGES:

1. On March 23, 1998, the NRP Policy Board approved a modification to the First Step/Early Access Plan to create a new strategy, Acquisition/Demolition (Housing and Neighborhood Appearance 1.2.F.). The new strategy is funded with \$492,119 shifted in 1996 from 249 Demolitions (Housing and Neighborhood Appearance 1.2.A.).
2. Approval of the full Action Plan will reallocate (1) \$150,000 in 1996 from 249 Demolitions (Housing and Neighborhood Appearance 1.3.A.) to Construct New Single Family Homes (Housing and Neighborhood Appearance 1.4.E.); (2) \$100,000 in 1996 from Acquisition and Demolition of Properties (Housing and Neighborhood Appearance 1.3.B.) to Construct New Single Family Homes (Housing and Neighborhood Appearance 1.4.E.); and (3) \$1,500 in 1997 from Cell Phones for Park Staff (Crime Prevention and Family Safety 1.4.C.) to Expand Activities at Farview Park (Crime Prevention and Family Safety 1.1.A.).
3. On February 16, 2000, the NRP Director approved a plan modification to reallocate \$4,000 in 2000 to Graffiti Removal (Housing and Neighborhood Appearance 1.5.B.) from Marketing Campaign (Housing and Neighborhood Appearance 1.5.C.).
4. On May 24, 2000, the NRP Director approved a plan modification to reallocate \$5,000 in 1996 to Lowry Avenue Housing Development (Housing and Neighborhood Appearance 1.4.F.) from Acquisition and Demolition of Properties (Housing and Neighborhood Appearance 1.3.B.).
5. On October 23, 2000, the NRP Director approved a plan modification to reallocate \$5,081.66 in 1997 from the following two strategies: (1) \$2,876.66 from "Green and Clean" Program (Housing and Neighborhood Appearance 1.5.A.); and (2) \$2,205 from Encourage New School in Hawthorne (Job Development, Business and the Environment 4.A.1.). The funds are reallocated to the following two strategies: (1) \$1,300 to Graffiti Removal (Housing and Neighborhood Appearance 1.5.B.); and (2) 3,781.66 to Administrative Support (Neighborhood Administration and Capacity 1.1.A.).
6. On May 16, 2001, the NRP Director approved a plan modification to revise the wording of Acquisition and Demolition of Properties (Housing and Neighborhood Appearance 1.3.B.) to enable the use of NRP funds to assist home owners who have been victims of house "flipping".
7. On August 8, 2001, the NRP Director approved a plan modification to reallocate \$200,000 (\$100,000 in 2000 and \$100,000 in 2001) from Expand Existing Employment Programs (Job Development, Business and the Environment 1.2.A.) to the following strategies: (1) \$25,000 in 2000 to Home Improvement Loan Program (Housing and Neighborhood Appearance 1.1.B.); (2) \$100,000 in 2001 to Business Incubator Support (Job Development, Business and the Environment 2.2.A.); and (3) \$75,000 in 2000 to Equity Fund for Businesses (Job Development, Business and the Environment 2.2.B.).
8. On January 14, 2002, the NRP Director approved a plan modification to reallocate a total of \$24,000 in 2000 to the following strategies: (1) \$4,000 to Graffiti Removal (Housing and Neighborhood Appearance 1.5.B.); and (2) \$20,000 to Administrative Support (Neighborhood Administration and Capacity Building 1.1.A.). The funds are reallocated from the following strategies: (1) \$4,000 from Marketing Campaign (Housing and Neighborhood Appearance 1.5.C.); (2) \$10,000 from West Broadway Improvements (Job Development, Business and the Environment 3.1.A.); and (3) \$10,000 from Penn-Lowry Plan Implementation (Job Development, Business and the Environment 3.1.B.).
9. On March 6, 2002, the NRP Director approved a plan modification to revise the language of Improve Lighting at Public Facilities (Crime Prevention and Family Safety 1.3.B.) to include additional infrastructure improvements.
10. On April 8, 2002, the NRP Director approved a plan modification to revise the language of Downpayment Assistance (Housing and Neighborhood Appearance 1.4.D.) to include the Hawthorne Homestead Program and deferred second mortgages. The Director's approval was conditioned on the funds being made available to both current and future neighborhood residents.
11. On December 16, 2002, the NRP Director approved a plan modification to reallocate \$4,000 in 2000 from Marketing Campaign (Housing and Neighborhood Appearance 1.5.C.) to Graffiti Removal (Housing and Neighborhood Appearance 1.5.B.).
12. On October 25, 2004, the NRP Director approved a plan modification to reallocate \$5,000 in 2000 from Equity Fund for Businesses (Job Development, Business and the Environment 2.2.B.) to Employment Survey (Job Development, Business and the Environment 1.1.A.).
13. On April 28, 2005, the NRP Director approved, based on Policy Board approval, a plan modification to reallocate a total of \$674,741.57 from the following strategies: (1) \$30,000 (\$10,000 in each of the years 2002, 2003 and 2004) from Renter Assistance Program (Housing and Neighborhood Appearance 1.2.C.); (2) \$39,961.31 in 1996 from 249 Demolitions (Housing and Neighborhood Appearance 1.3.A.); (3) \$50,000 in 2003 from Downpayment Assistance (Housing and Neighborhood Appearance 1.4.D.); (4) \$320,500 (\$220,500 in 1998 and \$100,000 in 2001) from Business Incubator Support (Job Development, Business and the Environment 2.2.A.); (5) \$120,000 in 2000 from Equity Fund for Businesses (Job Development, Business and the Environment 2.2.B.); (6) \$20,000 in 2000 from Penn-Lowry Plan Implementation (Job Development, Business and the Environment 3.1.B.); (7) \$37,000 in 1999 from Storm Water Runoff Prevention Projects (Job Development, Business and the Environment 5.2.A.); (8) \$15,000 in 1999 from Environmental Initiatives (Job Development, Business and the Environment 5.2.B.); and (9) \$42,280.26 in 2000 from Improve Lighting at Public Facilities (Crime Prevention and Family Safety 1.3.B.). The funds are reallocated to the following strategies: (1) \$40,000 (\$4,500 in 1996 and \$35,500 in 1998) to Home Improvement Loan Program (Housing and Neighborhood Appearance 1.1.B.); (2) \$70,000 (\$6,861.31 in 1996 and \$63,138.69 in 2000) to Rental Rehab Deferred Loans/Grants (Housing and Neighborhood Appearance 1.2.B.); (3) \$100,000 in 2001 to Acquisition and Demolition of Properties (Housing and Neighborhood Appearance 1.3.B.); (4) \$50,000 in 1999 to Construct New Single-Family Homes (Housing and Neighborhood Appearance 1.4.E.); (5) \$20,000 (\$10,000 in 2002 and \$10,000 in 2004) to Lowry Avenue Housing Development (Housing and Neighborhood Appearance 1.4.F.); (6) \$9,000 in 1996 to Graffiti Removal (Housing and Neighborhood Appearance 1.5.B.); (7) \$15,000 in 1996 to Expand Youth Employment Programs (Job Development, Business and the Environment 1.3.A.); (8) \$75,000 in 1998 to Technical Assistance to Businesses (Job Development, Business and the Environment 2.2.C.); (9) \$110,000 in 1998 to West Broadway Improvements (Job Development, Business and the Environment 3.1.A.); (10) \$4,800 in 1996 to 28th Avenue Greenway (Job Development, Business and the Environment 5.1.A.); (11) \$25,000 in 2003 to Expand Activities at Farview Park (Crime Prevention and Family Safety 1.1.A.); (12) \$20,000 in 2003 to Block Club Grants (Crime Prevention and Family Safety 1.2.A.); (13) \$5,000 in 2003 to Improve Lighting at Public Facilities (Crime Prevention and Family Safety 1.3.B.); (14) \$126,141.57 (\$2,000 in 1999; \$119,141.57 in 2000; and \$5,000 in 2003) to Administrative Support (Neighborhood Administration and Capacity Building 1.1.A.); and (15) \$5,000 in 2003 to Leadership Development (Neighborhood Administration and Capacity Building 1.1.B.). The modification also revises the wording of two strategies: (1) West Broadway Improvements (Job Development, Business and the Environment 3.1.A.) is revised to include other commercial corridors and to include outreach and marketing as eligible activities; and (2) Block Club Grants (Crime Prevention and Family Safety 1.2.A.) is revised to include community building projects.
14. On July 23, 2007, the NRP Director approved a plan modification to revise the language of Bike Path (Job Development, Business and the Environment 5.1.B.) to add other pedestrian and bicycle-related amenities.
15. On January 28, 2008, the NRP Director approved a plan modification to reallocate \$24,996 (\$6,429 in 1999 and \$18,747 in 2000) to Administrative Support (Neighborhood Administration and Capacity Building 1.1.A.). The funds are reallocated from the following strategies: (1) \$6,249 in 2000 from Rental Property Owner Collaboration (Housing and Neighborhood Appearance 1.2.A.); (2) \$6,249 in 2000 from Technical Assistance to Businesses (Job Development, Business and the Environment 2.2.C.); (3) \$6,249 in 1999 from Storm Water Prevention Projects (Job Development, Business and the Environment 5.2.A.); and (4) \$6,429 in 2000 from Improve Lighting at Public Facilities (Crime Prevention and Family Safety 1.3.B.).
16. On April 20, 2009, the NRP Director approved a plan modification to reallocate a total of \$116,777.40 from the following strategies: (1) \$33,751 (\$3,751 in 2000; \$10,000 in 2001; \$10,000 in 2002; and \$10,000 in 2003) from Rental Property Owner Collaboration (Housing and Neighborhood Appearance 1.2.A.); (2) \$6,700.11 in 2000 from Graffiti Removal (Housing and Neighborhood Appearance 1.5.B.); (3) \$3,062.09 in 2000 from Employment Survey (Job Development, Business and the Environment 1.1.A.); (4) \$1,426.41 in 1998 from Business Incubator Support (Job Development, Business and the Environment 2.2.A.); (5) \$20,000 in 2000 from Technical Assistance to Businesses (Job Development, Business and the Environment 2.2.C.); (6) \$5,000 in 1999 from Storm Water Runoff Prevention Projects (Job Development, Business and the Environment 5.2.A.); (7) \$1,000 in 2000 from Environmental Communications (MCNC) (Job Development, Business and the Environment 5.2.E.); (8) \$30,000 in 2000 from Traffic Calming Measures (Crime Prevention and Family Safety 1.3.A.); (9) \$15,000 in 2000 from Improve Lighting at Public Facilities (Crime Prevention and Family Safety 1.3.B.); (10) \$537.79 in 1997 from Lowry Substation (Crime Prevention and Family Safety 1.4.B.); and (11) \$300 in 2000 from Restorative Justice (Crime Prevention and Family Safety 1.4.D.); The funds are reallocated to the following strategies: (1) \$5,000 in 2000 to Penn-Lowry Plan Implementation (Job Development, Business and the Environment 3.1.B.); and (2) \$111,777.40 (\$537.79 in 1997; \$1,426.41 in 1998; \$5,000 in 1999; \$74,813.20 in 2000; \$10,000 in 2001; \$10,000 in 2002; and \$10,000 in 2003) to Administrative Support (Neighborhood Administration and Capacity Building 1.1.A.).
17. On July 22, 2010, the NRP Director approved a plan modification to revise the language of Home Improvement Grant Program (Housing and Neighborhood Appearance 1.1.A.) to include grants to housing developers for "green" improvements.
18. Approval of the Phase II Action Plan reallocates a total of \$365,591.26 from the following strategies: (1) \$73,074.51 in 1997 from Home Improvement Grant Program (Housing and Neighborhood Appearance 1.1.A.); (2) \$122,838.37 in 1996 from Acquisition and Demolition of Properties (Housing and Neighborhood Appearance 1.3.B.); (3) \$80,927.38 in 1996 from Construct New Single-Family Homes (Housing and Neighborhood Appearance 1.4.E.); (4) \$50,000 in 2000 from Lowry Avenue Housing Development (Housing and Neighborhood Appearance 1.4.F.); (5) \$5,000 in 2000 from Graffiti Removal (Housing and Neighborhood Appearance 1.5.B.); (6) \$5,000 in 1997 from Block Club Grants (Crime Prevention and Family Safety 1.2.A.); and (7) \$28,751 in 2000 from Improve Lighting at Public Facilities (Crime Prevention and Family Safety 1.3.B.). The funds are reallocated to the following Phase II strategies: (1) \$73,074.51 in 1997 to Rehabilitation Loan Program (Phase II Plan, Housing and Neighborhood Appearance 1.1.A.); (2) \$77,838.37 in 1996 to Acquisition/Demolition/Rehabilitation (Phase II Plan, Housing and Neighborhood Appearance 1.2.A.); (3) \$80,927.38 in 1996 to Construct New Single Family Homes (Phase II Plan, Housing and Neighborhood Appearance 1.4.B.); (4) \$50,000 in 2000 to Lowry Corridor Housing Developments (Phase II Plan, Housing and Neighborhood Appearance 1.7.A.); (5) \$5,000 in 2000 to Marketing Strategy (Phase II Plan, Housing and Neighborhood Appearance 1.8.A.); (6) \$45,000 in 1996 to Housing Staff Person (Phase II Plan, Housing and Neighborhood Appearance 1.8.B.); (7) \$5,000 in 1997 to Crime and Safety Committee Staff (Phase II Plan, Crime and Safety 1.1.B.); (8) \$10,000 in 2000 to Expand Activities at Farview Park (Phase II Plan, Crime and Safety 1.3.A.); and (9) \$18,751 in 2000 to Improve Lighting (Phase II Plan, Crime and Safety 1.4.A.). (This is Phase I Plan Modification #18 and Phase II Plan Modification #1.)
19. On August 10, 2011, the NRP Director approved a plan modification to reallocate \$15,000 in 1998 to West Broadway Improvements (Job Development, Business and the Environment 3.1.A.) from Technical Assistance to Businesses (Job Development, Business and the Environment 2.2.C.).
20. On July 31, 2014, the NCR Director revised a plan modification originally approved on April 24, 2014, to reallocate \$24,500 in 1998 from Technical Assistance to Businesses (Job Development, Business and the Environment 2.2.C.) to the following Phase II strategies: (1) \$17,000 in 1998 to Accessibility Improvements (Phase II Plan, Community and Communication 1.1.B.); and (2) \$7,500 in 1998 to Communications (Phase II Plan, Community and Communication 1.3.A.). (This is Phase I Plan Modification #20 and Phase II Plan Modification #2.)
21. On June 26, 2015, the NCR Director approved a plan modification which (a) combines the purposes and funding of Phase II strategies, Acquisition/Demolition/Rehabilitation (Phase II Plan, Housing and Neighborhood Appearance 1.2.A.) and Construct New Single Family Homes (Phase II Plan, Housing and Neighborhood Appearance 1.4.B.) and (b) reallocates \$77,838.37 in 1996 from Phase II strategy, Acquisition/Demolition/Rehabilitation (Phase II Plan, Housing and Neighborhood Appearance 1.2.A.) to Phase II strategy, Construct New Single Family Homes (Phase II Plan, Housing and Neighborhood Appearance 1.4.B.). (This is Phase I Plan Modification #21 and Phase II Plan Modification #4.)
22. On October 23, 2018, the NCR Director approved a plan modification to reallocate \$25,000 in Program Income from Acquisition and Demolition of Properties (Housing and Neighborhood Appearance 1.3.B.) to Phase III Neighborhood Priority, Community Health Dialogues (Phase III Activities, Neighborhood Priorities 1.). (This is Phase I Plan Modification #22 and Phase III Plan Modification #2.)
23. **PENDING:** On July 9, 2019, the NCR Director requested Policy Board approval for a plan modification to reallocate \$113,000 (\$38,000 in 2000 and \$75,000 in 2001) to Phase II strategy, Plan Implementation (Phase II Plan, Neighborhood Administration and Capacity Building 1.1.B.). The funds are reallocated from the following strategies: (1) \$75,000 in 2001 from Acquisition and Demolition of Properties (Housing and Neighborhood Appearance 1.3.B.); and (2) \$38,000 in 2000 from Lowry Corridor Housing Developments (Phase II Plan, Housing 1.7.A.). (This is Phase I Plan Modification #23 and Phase II Plan Modification #5.)

HAWTHORNE PHASE II ACTION PLAN

ACTIVITY	2004/10 - EARLY ACCESS		ACTION PLAN		NRP	PROGRAM INCOME	PHASE I ROLLOVER	CHANGES
	NRP HOUSING	NRP OTHER	NRP HOUSING	NRP OTHER	PHASE II TOTAL			
HOUSING AND NEIGHBORHOOD APPEARANCE (page 10)								
1.1.A. Rehabilitation Loan Program			90,000		90,000	258,187.17	73,074.51	1, 3, 5
1.1.B. Emergency Assistance Loan Program			5,000		5,000	19,218.00		3
1.1.C. Sustainable Technology Rehabilitation Improvements			50,000		50,000			3
1.2.A. Acquisition/Demolition/Rehabilitation			0		0		0.00	1, 4
1.4.A. Downpayment Assistance			40,633		40,633	25,000.00		3
1.4.B. Construct New Single Family Homes			175,000		175,000	620.00	158,765.75	1, 4
1.5.A. Neighborhood Land Use Plan				10,000	10,000			
1.6.A. Major Housing Developments			400,000		400,000			
1.7.A. Lowry Corridor Housing Developments			60,000		60,000		12,000.00	1, 5
1.8.A. Marketing Strategy			25,000		25,000		5,000.00	1
1.8.B. Housing Staff Person			200,000		200,000		45,000.00	1
BUSINESS AND ECONOMIC DEVELOPMENT (page 15)								
2.1.C. Business Committee Staff				20,000	20,000			
3.1.A. Neighborhood Business Corridors				14,800	14,800			
3.1.B. Business Façade Improvement Program				5,000	5,000			
3.1.C. Neighborhood Boundary Signage				15,000	15,000			
CRIME AND SAFETY (page 18)								
1.1.A. Community Building Grants				9,800	9,800			
1.1.B. Crime and Safety Committee Staff					0		5,000.00	1
1.2.A. Police Buyback				18,500	18,500			
1.2.B. Community Oriented Public Safety Initiative		see * below			-			
1.3.A. Expand Activities at Farview Park				13,500	13,500		10,000.00	1
1.4.A. Improve Lighting					0		18,751.00	1
1.4.B. Trash Containers				5,000	5,000			
1.4.C. Neighborhood Clean-ups				8,000	8,000			
COMMUNITY AND COMMUNICATION (page 22)								
1.1.A. Festivals and Gatherings				10,000	10,000			
1.1.B. Accessibility Improvements				15,000	15,000		17,000.00	2
1.1.D. Volunteer Support				10,000	10,000			
1.2.A. Micro-Grant Program for Youth Activities				10,000	10,000			
1.3.A. Communications				9,800	9,800		7,500.00	2
ENVIRONMENT (page 24)								
1.1.A. 26th Avenue Greenway				15,800	15,800			
1.1.B. Bicycle Links				4,000	4,000			
1.2.A. Youth Environmental Projects				8,000	8,000			
1.3.A. Implementation of Above the Falls Plan				3,000	3,000			
1.3.B. Green Landscaping				7,000	7,000			
1.3.D. Support Healthy Local Food Systems				2,000	2,000			
1.4.A. Environmental Outreach				2,000	2,000			
1.4.B. Environmental Communications				3,000	3,000			
1.4.C. Environment Committee Staff				10,000	10,000			
NEIGHBORHOOD ADMINISTRATION AND CAPACITY BUILDING (page 29)								
1.1.A. Plan Development		67,888.50			67,888.50			
1.1.B. Plan Implementation				250,819.50	250,819.50	25,000.00	113,000.00	5
TOTAL	0	67,888.50	1,045,633	480,019.50	1,593,541	328,025.17	465,091.26	
APPROVED EARLY ACCESS - COPSIRF		9,749.68			9,749.68			
ACTION PLAN REQUEST		67,888.50		1,525,653	1,593,541			
ADMINISTRATIVE FUNDS IN PLAN		67,889		280,620	348,508	21.87%		
ADMIN FUNDS FOR HOUSING					292,689			
TOTAL HOUSING ALLOCATION					1,338,322	83.98%		
AMOUNT AVAILABLE **		67,888.50		728,882	796,771			

NOTES:

* - The Cleveland, Hawthorne, Lind-Bohanon, McKinley, Shingle Creek and Victory Community Oriented Public Safety Initiative (Crime and Safety 1.2.B.) was funded through the NRP Community Oriented Public Safety Initiatives Reserve Fund (COPSIRF) for a total of \$68,715; Hawthorne's portion of the allocation is \$11,452.50. Final expenditures for this initiative are \$58,498.08; Hawthorne's share of these expenditures is \$9,749.68. Since the allocation is from the Community Oriented Public Safety Initiatives Reserve Fund, the allocation is not included in the total Action Plan Request calculated above, nor does it count against the neighborhood's Phase II allocation.

** - On December 13, 2010, the City Council adopted a policy that requires each neighborhood to limit its Phase II Neighborhood Action Plan obligations to no more than 50% of their full neighborhood allocation.

CHANGES:

- Approval of the Phase II Action Plan reallocates a total of \$365,591.26 in Phase I funds to the following strategies: (1) \$73,074.51 to Rehabilitation Loan Program (Housing and Neighborhood Appearance 1.1.A.); (2) \$77,838.37 to Acquisition/Demolition/Rehabilitation (Housing and Neighborhood Appearance 1.2.A.); (3) \$80,927.38 to Construct New Single Family Homes (Housing and Neighborhood Appearance 1.4.B.); (4) \$50,000 to Lowry Corridor Housing Developments (Housing and Neighborhood Appearance 1.7.A.); (5) \$5,000 to Marketing Strategy (Housing and Neighborhood Appearance 1.8.A.); (6) \$45,000 to Housing Staff Person (Housing and Neighborhood Appearance 1.8.B.); (7) \$5,000 to Crime and Safety Committee Staff (Crime and Safety 1.1.B.); (8) \$10,000 to Expand Activities at Farview Park (Crime and Safety 1.3.A.); and (9) \$18,751 to Improve Lighting (Crime and Safety 1.4.A.). (This is Phase I Plan Modification #18 and Phase II Plan Modification #1.)
- On July 31, 2014, the NCR Director revised a plan modification originally approved on April 24, 2014, to reallocate \$24,500 in Phase I funds to the following Phase II strategies: (1) \$17,000 to Accessibility Improvements (Community and Communication 1.1.B.); and (2) \$7,500 in 1998 to Communications (Community and Communication 1.3.A.). (This is Phase I Plan Modification #20 and Phase II Plan Modification #2.)
- On October 17, 2014, the NCR Director approved a plan modification to reallocate a total of \$69,218 from the following strategies: (1) \$44,218 in Program Income from Rehabilitation Loan Program (Housing and Neighborhood Appearance 1.1.A.); and (2) \$25,000 from Sustainable Technology Rehabilitation Improvements (Housing and Neighborhood Appearance 1.1.C.). The funds are reallocated to the following strategies: (1) \$19,218 in Program Income to Emergency Assistance Loan Program (Housing and Neighborhood Appearance 1.1.B.); and (2) \$50,000 (\$25,000 in plan funds and \$25,000 in Program Income) to Downpayment Assistance (Housing and Neighborhood Appearance 1.4.A.).
- On June 26, 2015, the NCR Director approved a plan modification which (a) combines the purposes and funding of Acquisition/Demolition/Rehabilitation (Housing and Neighborhood Appearance 1.2.A.) and Construct New Single Family Homes (Housing and Neighborhood Appearance 1.4.B.) and (b) reallocates \$97,838.37 (\$77,838.37 in Phase I Rollover and \$20,000 in Action Plan funds) from Acquisition/Demolition/Rehabilitation (Housing and Neighborhood Appearance 1.2.A.) to Construct New Single Family Homes (Housing and Neighborhood Appearance 1.4.B.). (This is Phase I Plan Modification #21 and Phase II Plan Modification #4.)
- PENDING:** On July 9, 2019, the NCR Director requested Policy Board approval for a plan modification to reallocate \$75,000 of Phase I funds to Phase II strategy, Plan Implementation (Neighborhood Administration and Capacity Building 1.1.B.). The modification also reallocates \$38,000 of Phase I Rollover from Lowry Corridor Housing Development (Housing and Neighborhood Appearance 1.7.A.) to Plan Implementation (Neighborhood Administration and Capacity Building 1.1.B.). (This is Phase I Plan Modification #23 and Phase II Plan Modification #5.) The modification also reallocates \$25,000 in Program Income from Rehabilitation Loan Program (Housing and Neighborhood Appearance 1.1.A.) to Plan Implementation (Neighborhood Administration and Capacity Building 1.1.B.).

HAWTHORNE PHASE II ACTION PLAN

ACTIVITY	COMMENTS	CONTRACT ADMINISTRATOR
HOUSING AND NEIGHBORHOOD APPEARANCE (page 10)		
1.1.A. Rehabilitation Loan Program		DFD
1.1.B. Emergency Assistance Loan Program		DFD
1.1.C. Sustainable Technology Rehabilitation Improvements		DFD
1.2.A. Acquisition/Demolition/Rehabilitation		CPED
1.4.A. Downpayment Assistance		DFD
1.4.B. Construct New Single Family Homes		CPED
1.5.A. Neighborhood Land Use Plan		DFD
1.6.A. Major Housing Developments		CPED
1.7.A. Lowry Corridor Housing Developments		CPED
1.8.A. Marketing Strategy		DFD
1.8.B. Housing Staff Person		NRP
BUSINESS AND ECONOMIC DEVELOPMENT (page 15)		
2.1.C. Business Committee Staff		NRP
3.1.A. Neighborhood Business Corridors		CPED
3.1.B. Business Façade Improvement Program		CPED
3.1.C. Neighborhood Boundary Signage		Public Works
CRIME AND SAFETY (page 18)		
1.1.A. Community Building Grants		DFD
1.1.B. Crime and Safety Committee Staff		NRP
1.2.A. Police Buyback		Police
1.2.B. Community Oriented Public Safety Initiative		Police
1.3.A. Expand Activities at Farview Park		MPRB
1.4.A. Improve Lighting		DFD
1.4.B. Trash Containers		Public Works
1.4.C. Neighborhood Clean-ups		Public Works
COMMUNITY AND COMMUNICATION (page 22)		
1.1.A. Festivals and Gatherings		NRP
1.1.B. Accessibility Improvements		DFD/Hennepin County
1.1.D. Volunteer Support		NRP
1.2.A. Micro-Grant Program for Youth Activities		Hennepin County/DFD
1.3.A. Communications		NRP
ENVIRONMENT (page 24)		
1.1.A. 26th Avenue Greenway		Public Works/DFD
1.1.B. Bicycle Links		Public Works
1.2.A. Youth Environmental Projects		Hennepin County/MPRB/DFD
1.3.A. Implementation of Above the Falls Plan		Public Works/DFD
1.3.B. Green Landscaping		Public Works/DFD
1.3.D. Support Healthy Local Food Systems		Environmental Inspections/DFD
1.4.A. Environmental Outreach		NRP
1.4.B. Environmental Communications		NRP
1.4.C. Environment Committee Staff		NRP
NEIGHBORHOOD ADMINISTRATION AND CAPACITY BUILDING (page 29)		
1.1.A. Plan Development		NRP
1.1.B. Plan Implementation		NRP

Hawthorne Phase II Action Plan (Hawthorne 2.xls)
 Date Created: February 7, 2011
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 Prepared By: Robert Cooper, Finance, 673-5239