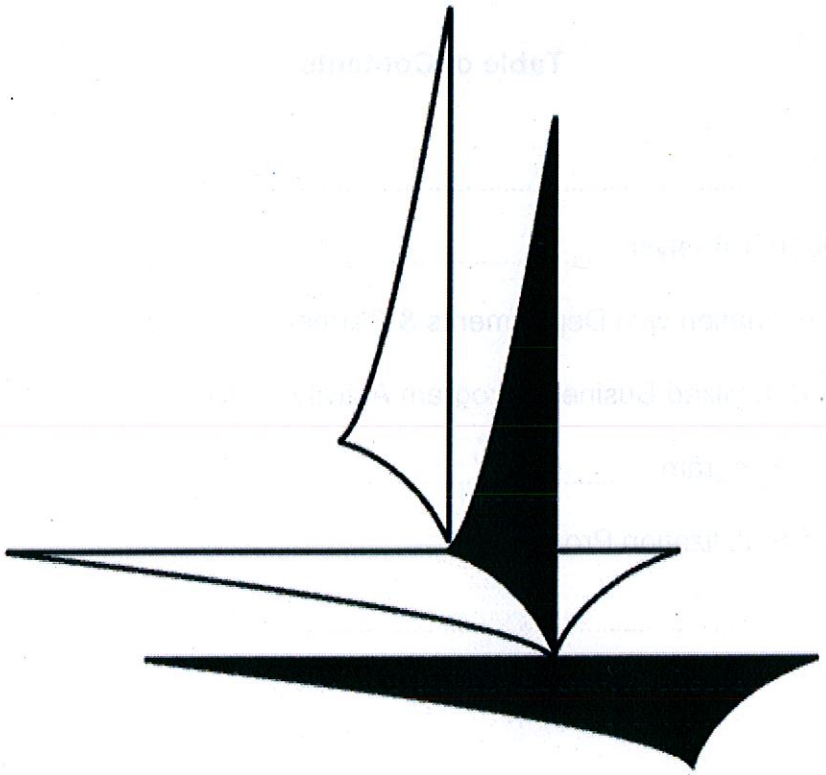

Minneapolis

City of Lakes

CIVIL RIGHTS DEPARTMENT

CONTRACT COMPLIANCE UNIT

QUARTERLY CONTRACT COMPLIANCE REPORT
July - Sept 2011

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INTRODUCTION

The Contract Compliance Unit (CCU) increases business growth and employment opportunities while reducing race and gender disparities by actively ensuring compliance with affirmative action and prevailing wage requirements as mandated by city ordinance, federal regulations and policies. Prior to contract or project approval, the CCU conducts an extensive pre-award review to ensure compliance with all civil rights requirements. The CCU continues to actively monitor contractors' performance throughout the project, reporting at least monthly to stakeholders, conducting investigations, and issuing penalties as needed. The CCU monitors and ensures compliance in five primary program areas: 1) Affirmative Action Plans (AAPs), 2) SUBP, 3) Employment participation goals (of minorities and female), 4) Prevailing wage monitoring, and 5) HUD Section 3.

2011 – 3rd QUARTER ANALYSIS

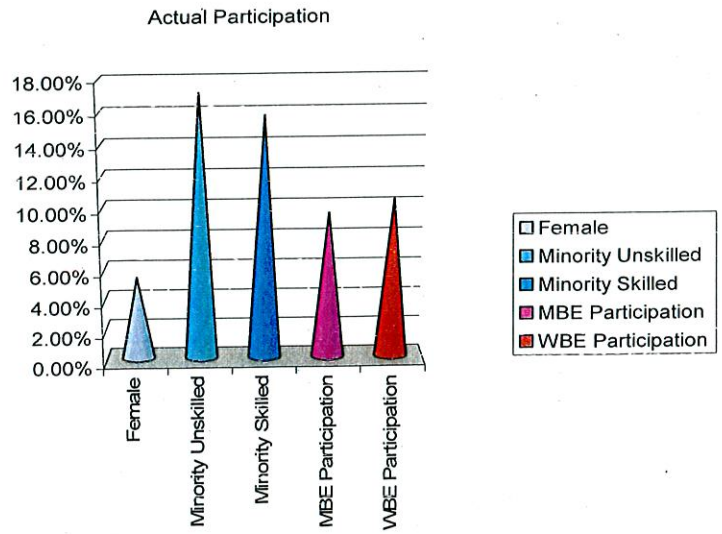
Summaries for the 3rd Quarter 2011 are as follows:

62 active construction projects totaling $202,263,249 (see Table 1)

- Minority Skilled Goal = **11%,** Actual Participation = **15.7%**
- Minority Unskilled Goal = **11%,** Actual Participation = **17.1%**
- Female Goal = **6%,** Actual Participation = **5.4%**

Small and Underutilized Business Program participation for active projects (see Table 5)

- Minority-owned Business Enterprise (MBE) participation totaled $16,641,918, or 10.4%
- Woman-owned Business Enterprise (WBE) participation totaled $17,041,682, or 10.6%



IMPROVED COORDINATION WITH DEPARTMENTS & PARTNERS

- The CCU continues its involvement with the City of Minneapolis North Side Tornado Recovery Workgroup to ensure inclusion in the employment of minority, women, and small underutilized businesses in the North Minneapolis rebuilding efforts.

- The CCU also continues its involvement with the North Side Community Response Team, a volunteer group comprised of social service, housing, employment and contracting agencies that serve North Minneapolis. Furthermore, the CCU is a member of the subcommittee that focuses on contractors and employment.

- The CCU continues to develop its relationship with the Minneapolis Building Trade to facilitate mutual understanding and cooperation.

- The CCU participated in the national American Contract Compliance Association (ACCA) training in Bloomington, MN. The CCU leadership trained agencies from across the country (including the CCU staff) in City of Minneapolis compliance methods.

- In anticipation of its new duties with the Minnesota Unified Certification Program (MnUCP), the CCU started participating in the bimonthly certification meetings at the Minneapolis Airport Commission (MAC).

- The CCU held a meeting with other city departments to streamline the new electronic goal setting process.

- The CCU attended a recognition ceremony related to the Construction Partnering Program (CPP) of the Metropolitan Economic Development Association (MEDA). The City of Minneapolis was a partial recipient of an award for its involvement on the Cowles Center project (fka Shubert Theater) and was recognized for its compliance efforts.

- The CCU attended an Economic Summit through the University of Minnesota's Urban Research and Outreach/Engagement Center (UROC).

- The CCU attended the 100th Anniversary celebration of Knutson Construction, the general contractor on the Riverside Plaza project.

SMALL AND UNDERUTILIZED BUSINESS ACTIVITY (SUBP)

- The Small and Underutilized Business Program is now a Certifying Agency through the Minnesota Unified Certified Program (MN/UCP). Certifying Agencies are agencies that receive and process Disadvantaged Business Enterprise (DBE) applications on behalf of all

recipients in the state with respect to participation in the DOT DBE Program and SUBP. The CCU intends to take applications in the 4th Quarter 2011.

Certifying Agencies include the Metropolitan Airports Commission, the Metropolitan Council, the Minnesota Department of Transportation and the City of Minneapolis.

- In accordance with Sections 139.70 and Chapter 423.40-60 of the Minneapolis Code of Ordinances, the CCU worked with city departments to set minimum participation goals in selected areas (WBE and MBE). In this quarter we reviewed civil rights/compliance goals for 41 projects: 14 construction projects, 24 goods and services contracts, and 3 requests for proposals.

- SUBP goals are set based on the availability of women and minority owned businesses in the marketplace as defined in the disparity study

SECTION 3 PROGRAM

Minneapolis Department of Civil Rights (MDCR) currently has 54 businesses certified as Section 3, with four pending certifications. The CCU has attended the NAMC meetings and has informed its members of our Section 3 program and requirements. In addition, staff has developed a Section 3 Resident Certification listing to distribute to contractors seeking new employees. Urban Ventures Leadership Foundation and various unions have thousands of unemployed members. CCU has partnered with Minneapolis Building Trades and local unions resulting in 105 members certified. Seven pending applications and 23 certified members employed on City of Minneapolis projects. We also have partnered with SAOIC resulting in 14 graduates certified, three pending applications, and one certified graduate employed.

NEIGHBORHOOD STABILIZATION PROGRAM (NSP)

The CCU continues to track and monitor the NSP program. NSP1 and NSP2 are underway. Cumulatively, these employment goals are being achieved and exceeded through June 2011. The employment goals are employing 6% female, 11% minority skilled, 11% minority unskilled and 30% Section 3 residents (new hires) respectively. Total project hours to date total 65,270.

NSP1

- Minority skilled participation **35.68%**
- Minority unskilled participation **82.06%**
- Female participation **6.11%**
- Section 3 participation **0.00%**

NSP2 – No activity during this reporting cycle

All minority (skilled and unskilled) and female employment goals for this quarter are being met and exceeded. This success is a collaborative effort between City staff, developers, and the construction community. MDCR is working with contractors to identify and hire qualified Section 3 residents for increased participation.

Although we monitor each project separately and the contractors go through our good faith effort process, these goals will ultimately be reported cumulatively. The contract award goals are 6% MBE, 8% WBE and 10% Section 3.

NSP1

- **83** homes have been approved for construction ($7,850,547).
- **29.60%** of contract has been awarded to MBEs.
- **13.06%** of contract has been awarded to WBE
- **31.99%** of contract Section 3 businesses.

NSP2 – No activity during this reporting cycle

All SUBP/Section 3 verified contracting goals for this 3rd quarter 2011 are being met and exceeded. This success is a collaborative effort between City staff and the contracting community.

CONCLUSION

The CCU continues to work diligently to monitor and enforce all City of Minneapolis ordinances and all federal and state regulations for which it has responsibility. We continue to work with other City departments and community partners to ensure they understand the Civil Rights mandates and requirements.

The work of the CCU has put contractors and the City of Minneapolis in a better position to be in compliance with local and federal requirements. Our more thorough and transparent reporting requirements and procedures have enabled us to provide a message to the community that the City of Minneapolis is a leader in inclusion, prevailing wages and small business participation. This thoroughness has been manifested in higher participation by women and minority owned businesses and female and minority employment on City of Minneapolis projects. We will continue to focus our efforts on bringing all contractors into compliance and making opportunities available for minorities and women in the City of Minneapolis.

TABLE 1

Active Projects (3rd Quarter 2011)

Prime Contractor	Contract Amount	Female Hours	Female Percent	Total Skilled Hours	Minority Skilled Hours	Minority Skilled Percent	Total Unskilled Hours	Unskilled Minority Hours	Unskilled Minority Percent	Total Project Hours
Project Name: ALLIANCE ADDITION										
Project Code: C-27116										
WEIS BUILDERS INC	4,566,927.00	1895.75	4.26%	38295.5	7085.75	18.50%	6223.15	919	14.77%	44518.65
Project Name: AMERICAN ACADEMY OF NEUROLOGY										
Project Code: BOND(2)2011										
MORTENSON CONSTRUCTION	14,000,000.00	1602.25	5.44%	25018.75	4095.75	16.37%	4439	381.5	8.59%	29457.75
Project Name: AVENUES FOR HOMELESS YOUTH										
Project Code: CPED2010(10)										
LOAD-BEARING INC	121,433.00	106.5	9.52%	1031	893.5	86.66%	88	83	94.32%	1119
Project Name: CLARE MIDTOWN										
Project Code: CPED2010(8)										
FRERICHS CONSTRUCTION COMPANY	5,589,331.00	1546.25	4.12%	33841.5	4916.25	14.53%	3652.25	976.25	26.73%	37493.75
Project Name: GATEWAY LOFTS										
Project Code: CPED2011(1)										
FRERICHS CONSTRUCTION COMPANY	5,359,693.00	313	1.61%	17221	4094.25	23.77%	2193.25	347.5	15.84%	19414.25
Project Name: J JEROME BOXLEITNER PLACE										
Project Code: IDREVBOND(2)										
FRERICHS CONSTRUCTION COMPANY	13,810,021.00	148	2.29%	5022.75	529	10.53%	1430.5	181	12.65%	6453.25
Project Name: LYNDALE GREEN APARTMENTS										
Project Code: CPED2010(12)										
FRANA COMPANIES	7,274,758.00	1452	3.89%	34067.75	5240.5	15.38%	3299.75	241	7.30%	37367.5
Project Name: MOZAIC										
Project Code: IDREVBOND(1)										
RYAN COMPANIES US INC	19,500,000.00	2857	4.44%	44885	5860.25	13.06%	19517.75	1040	5.33%	64402.75

Prime Contractor	Contract Amount	Female Hours	Female Percent	Total Skilled Hours	Minority Skilled Hours	Minority Skilled Percent	Total Unskilled Hours	Unskilled Minority Hours	Unskilled Minority Percent	Total Project Hours
Project Name: NOKOMIS SENIOR HOUSING (COVENIRE CARE COMMUNITY)										
Project Code: C-900000										
FRANA COMPANIES	9,800,000.00	3667.75	6.36%	51338.25	4934.75	9.61%	6298.25	1306.5	20.74%	57636.5
Project Name: OP 7171 DEWATERING PLANT IMPROVEMENTS										
Project Code: C-27146										
GRAHAM CONSTRUCTION SERVICES INC	19,220,000.00	2890	3.26%	70,505	12556.75	17.80%	18025.25	2227.5	12.35%	88,530.25
Project Name: OP 7173 - RE-BID FOR CONSTRUCTION OF RAMP B IMPROVEMENTS										
Project Code: C-27065										
LUND MARTIN CONSTRUCTION INC	5,850,982.00	2606	6.02%	35364.25	3144	8.89%	7914	2058.5	26.01%	43278.25
Project Name: OP 7202 - EAST PHILLIPS CULTURAL COMMUNITY CENTER										
Project Code: C-27297										
ROCHON CORPORATION	2,693,000.00	392.25	3.52%	8827.75	2133	24.16%	2300	476.5	20.72%	11127.75
Project Name: OP 7223 - MECHANICAL LIFE SAFETY 14										
Project Code: C-27482										
COOL AIR MECHANICAL INC	1,549,000.00	757	5.71%	12817.25	1292	10.08%	439	0	0.00%	13256.25
Project Name: OP 7253 - PARKING LOT PAVEMENT REPLACEMENT										
Project Code: C-27801										
MIDWEST ASPHALT CORP.	217,400.00	92	10.18%	838	125.5	14.98%	65.75	4	6.08%	903.75
Project Name: OP 7266 - DEMOLITION OF SIX (6) STRUCTURES										
Project Code: C-28013										
K. A. KAMISH EXCAVATION INC.	96,780.00	74.5	23.88%	179.5	0	0.00%	132.5	116	87.55%	312
Project Name: OP 7290 - DEMOLITION OF THREE (3) STRUCTURES										
Project Code: C-28037										
CARL BOLANDER & SONS, INC.	62,600.00	0	0.00%	66.5	0	0.00%	101.75	0	0.00%	168.25
Project Name: OP 7300 - DEMOLITION OF TWELVE (12) STRUCTURES										
Project Code: C-28241										
K. A. KAMISH EXCAVATION INC.	197,413.00	100	16.61%	381.5	64	16.78%	220.5	173	78.46%	602
Project Name: OP 7307 - PIONEER AND SOLDIERS MEMORIAL CEMETERY FENCE										
Project Code: C-28308										

Prime Contractor	Contract Amount	Female Hours	Female Percent	Total Skilled Hours	Minority Skilled Hours	Minority Skilled Percent	Total Unskilled Hours	Unskilled Minority Hours	Unskilled Minority Percent	Total Project Hours
TERRA GENERAL CONTRACTORS LLC	237,000.00	36.5	6.32%	348.5	57.5	16.50%	229.22	7.67	3.35%	577.72
Project Name: OP 7314 - STORM SEPARATION PROJECT										
Project Code: OP 7314										
NAC MECHANICAL & ELECTRICAL SERVICES	261,800.00	8	0.62%	963.5	299	31.03%	324.5	0	0.00%	1288
Project Name: OP 7327 - HIAWATHA WADING POOL										
Project Code: C-28282										
GLOBAL SPECIALITY CONTRACTORS	218,900.00	48	3.27%	407	0	0.00%	1062	303	28.53%	1469
Project Name: OP 7331 - LIME SLAKER REPLACEMENT										
Project Code: OP 7331										
GRAHAM CONSTRUCTION SERVICES INC	789,000.00	30	1.73%	1269	56	4.41%	456.5	28.5	6.24%	1725.5
Project Name: OP 7343 - MCC LANDSCAPING IMPROVEMENTS										
Project Code: OP 7343										
CEDAR RIDGE LANDSCAPING, INC.	440,000.00	16	0.98%	1231.75	274.5	22.29%	399	65	16.29%	1630.75
Project Name: OP 7345 - 2ND AVENUE TUNNEL REHABILITATION										
Project Code: OP 7345										
ENGINEERING & CONSTRUCTION INNOVATIONS INC	635,445.00	762.25	14.54%	856	0	0.00%	4385.75	1369	31.21%	5241.75
Project Name: OP 7357 - 10TH AVENUE TUNNEL - DEWATERING WELLS										
Project Code: OP 7357										
KEYS WELL DRILLING	177,750.00	0	0.00%	470.25	0	0.00%	278.5	14	5.03%	748.75
Project Name: OP 7369 - LEAD HAZARD REDUCTION SERVICES										
Project Code: OP 7369										
MINNESOTA REMODELING & RESTORATION CO	1,600,000.00	0	0.00%	5083	1101.5	21.67%	64	64	100.00%	5147
Project Name: OP 7373 - TARGET CENTER VIDEO SURVEILLANCE										
Project Code: OP 7373										
AUDIO AND SECURITY ENGINEERS	85,153.48	0	0.00%	404	249.5	61.76%	0	0	0.00%	404
Project Name: OP 7376 - 10TH AVENUE STORM TUNNEL - PHASE 1										
Project Code: OP 7376										

Prime Contractor	Contract Amount	Female Hours	Female Percent	Total Skilled Hours	Minority Skilled Hours	Minority Skilled Percent	Total Unskilled Hours	Unskilled Minority Hours	Unskilled Minority Percent	Total Project Hours
PCI ROADS LLC	3,887,404.00	2133.5	12.41%	9163.25	470.75	5.14%	8028	1610	20.05%	17191.25
Project Name: OP 7383 - FLOOD AREA 5: 37TH AVENUE NORTH GREENWAY										
Project Code: OP 7383										
S.M. HENTGES & SONS, INC.	2,800,000.00	296.25	2.98%	6789.5	166.25	2.45%	3143.5	990	31.49%	9933
Project Name: OP 7385 - SIDEWALKS, CURB & GUTTER, ALLEYS & DRIVEWAYS										
Project Code: OP 7385										
CONCRETE IDEA, INC.	1,872,300.00	0	0.00%	4049	615.25	15.19%	3258	1074.75	32.98%	7307
Project Name: OP 7388 - ALDRICH FACILITY RENOVATION										
Project Code: OP 7388										
CM CONSTRUCTION COMPANY	333,500.00	96.5	4.69%	1694.25	195.5	11.53%	361	0	0.00%	2055.25
Project Name: OP 7390 - RAMP RELAMPING										
Project Code: C-29066										
MIDWEST ENERGY SOLUTIONS	98,900.00	9	2.90%	297	156	52.52%	13	0	0.00%	310
Project Name: OP 7392 - WIRTH LAKE SITE IMPROVEMENT										
Project Code: OP 7392										
EBERT CONSTRUCTION	1,603,992.00	361.25	8.63%	2327	142.5	6.12%	1855	190	10.24%	4182
Project Name: OP 7396 - TWO IDENTICAL FIBERGLASS TANKS										
Project Code: OP 7396										
MAGNEY CONSTRUCTION INC	119,879.00	0	0.00%	611	0	0.00%	124.5	0	0.00%	735.5
Project Name: OP 7403 - BOOM ISLAND										
Project Code: OP 7403										
ROCHON CORPORATION	2,027,750.98	65.5	2.42%	2443.25	112.5	4.60%	262.75	5.75	2.18%	2706
Project Name: OP 7406 - DEMOLITION OF (7) STRUCTURES										
Project Code: OP 7406										
A.M.E. NORTH INC	96,470.00	0	0.00%	0	0	0.00%	34.5	17	49.28%	34.5
Project Name: OP 7431 - FIRESTATION #15 & #21 - BOILER INSTALLATION										
Project Code: OP 7431										
ERICKSON PLUMBING HEATING COOLING, INC.	114,855.00	7	3.90%	174.5	9	5.16%	5	0	0.00%	179.5

Prime Contractor	Contract Amount	Female Hours	Female Percent	Total Skilled Hours	Minority Skilled Hours	Minority Skilled Percent	Total Unskilled Hours	Unskilled Minority Hours	Unskilled Minority Percent	Total Project Hours
Project Name: OP 7440 - INFRASTRUCTURE MODIFICATION										
Project Code: C-30047										
NAC MECHANICAL & ELECTRICAL SERVICES	200,888.00	17.5	1.09%	1608.75	292.5	18.18%	0	0	0.00%	1608.75
Project Name: OP 7441 - AIR HANDLING PLATFORMS										
Project Code: C-31085										
A & L CONSTRUCTION INC	124,400.00	0	0.00%	4	0	0.00%	0	0	0.00%	4
Project Name: OP 7445 - DEMOLITION OF SIX (6) STRUCTURES										
Project Code: C-32079										
G URBAN COMPANIES INC	82,500.00	0	0.00%	205.25	0	0.00%	234.5	58.5	24.95%	439.75
Project Name: OP 7446 - SOLAR SUPPORT STRUCTURE ON THE HAAF PARKING RAMP										
Project Code: OP 7446										
MEISINGER CONSTRUCTION COMPANY INC	87,500.00	0	0.00%	0.00	0	0.00	0	0	0.00%	0
Project Name: OP 7453 - RIVERSIDE AVENUE - PHASE 1										
Project Code: OP 7453										
THOMAS AND SONS CONSTRUCTION	924,934.00	370.5	8.14%	2396	115	4.80%	2153.75	309.5	14.37%	4549.75
Project Name: OP 7454 - 25TH AVENUE & GRANARY WEST POND										
Project Code: OP 7454										
VEIT AND COMPANY	838,380.00	369.25	9.04%	2961.25	258.75	8.74%	1123	0	0.00%	4084.25
Project Name: OP 7459 - DEMOLITION OF SIX (6) STRUCTURES										
Project Code: C-33018										
G URBAN COMPANIES INC	95,000.00	0	0.00%	0	0	0.00%	10.5	5.5	52.38%	10.5
Project Name: OP 7460 - ASPHALTIC CONCRETE VEHICULAR PAVING										
Project Code: OP 7460										
DMJ ASPHALT, INC.	82,246.00	0	0.00%	169	0	0.00%	58	0	0.00%	227
Project Name: OP 7462 - GARFIELD AVENUE SOUTH										
Project Code: OP 7462										
RACHEL CONTRACTING INC	94,735.40	0	0.00%	137.5	28	20.36%	19	0	0.00%	156.5
Project Name: OP 7464 - DEMOLITION OF (6) STRUCTURES										
Project Code: C-33019										

Prime Contractor	Contract Amount	Female Hours	Female Percent	Total Skilled Hours	Minority Skilled Hours	Minority Skilled Percent	Total Unskilled Hours	Unskilled Minority Hours	Unskilled Minority Percent	Total Project Hours
FINAL GRADE INC	93,000.00	0	0.00%	0	0	0.00%	7	3.5	50.00%	7
Project Name: OP 7468 - STAGE XVI PACKAGE NO. 4										
Project Code: C-32023										
NAC MECHANICAL & ELECTRICAL SERVICES	1,048,691.00	0	0.00%	90.5	0	0.00%	0	0	0.00%	90.5
Project Name: OP 7469 - MINNEHAHA PARK LOWER GLEN										
Project Code: OP 7469										
MINNESOTA NATIVE LANDSCAPES INC	193,966.00	6.5	13.82%	0	0	0.00%	47	0	0.00%	47
Project Name: OP 7470 - LAKE HARRIET AREA REGIONAL PLAYGROUND										
Project Code: C-32084										
FITOL HINTZ CONSTRUCTION INC	902,700.25	0	0.00%	88	0	0.00%	161.5	17	10.53%	249.5
Project Name: OP 7478 - BITUMINOUS PARKING LOT REPLACEMENT										
Project Code: OP 7478										
BITUMINOUS ROADWAYS INC	75,900.00	46.75	23.82%	159.25	0	0.00%	37	0	0.00%	196.25
Project Name: OP 7495 - PHILIPS COMMUNITY CENTER										
Project Code: OP 7495										
PMI CONSTRUCTION CO	245,000.00	0	0%	37.5	0	0.00%	0	0	0%	37.5
Project Name: OP 7505 - FOUR (4) ROOFS AT FRIDLEY WATER										
Project Code: OP 7505										
BERWALD ROOFING COMPANY, INC.	196,100.00	0	0.00%	68	8	11.76%	0	0	0.00%	68
Project Name: OP 7512 - DEMOLITION OF SEVEN (7) STRUCTURES										
Project Code: C-33044										
VEIT AND COMPANY	360,257.00	18.5	4.48%	199.25	0	0.00%	213.5	46.5	21.78%	412.75
Project Name: RIVERSIDE PLAZA STABILIZATION										
Project Code: CPED2011(2)										
KNUTSON CONSTRUCTION SERVICES	48,357,013.00	11244.25	6.13%	162253.25	29548.75	18.21%	21052.25	4934.75	23.44%	183305.5
Project Name: ST. ANNE'S PLACE KITCHEN RENOVATION										
Project Code: CPED2011(3)										
A & L CONSTRUCTION INC	89,900.00	46	5.70%	743	83	11.17%	64	48	75%	807

Prime Contractor	Contract Amount	Female Hours	Female Percent	Total Skilled Hours	Minority Skilled Hours	Minority Skilled Percent	Total Unskilled Hours	Unskilled Minority Hours	Unskilled Minority Percent	Total Project Hours
Project Name: ST. ANNE'S SENIOR HOUSING										
Project Code: CPED2011(6)										
WATSON-FORSBERG CO	180,810.00	32	7.44%	386	76	19.69%	44	0	0.00%	430
Project Name: TC HABITAT FOR THE MET COUNCIL LHIA										
Project Code: C-27532										
TWIN CITIES HABITAT FOR HUMANITY INC	110,000.00	97.5	6.18%	785.75	121	15.40%	792	110.75	13.98%	1577.75
Project Name: THE MINNESOTA SHUBERT PERFORMING ARTS & EDUCATION CENTER										
Project Code: C-00000000										
MCGOUGH CONSTRUCTION	18,500,000.00	8251.5	6.61%	106574.32	18089	16.97%	18213.911	2940	16.14%	124788.23
Project Name: WHITTIER COOPERATIVE APARTMENTS										
Project Code: CPED2010(7)										
FRERICHS CONSTRUCTION COMPANY	2,069,891.00	1157.5	6.63%	16057.07	2673.07	16.65%	1396.75	241	17.25%	17453.82
TOTALS	**202,263,249.11**		**5.4%**			**15.7%**			**17.1%**	

TABLE 2

Closed Projects (3rd Quarter 2011)

Prime Contractor	Contract Amount	Female Hours	Female Percent	Total Skilled Hours	Minority Skilled Hours	Minority Skilled Percent	Total Unskilled Hours	Unskilled Minority Hours	Unskilled Minority Percent	Total Project Hours
Project Name: 3631 PENN AVENUE NORTH RENOVATION										
Project Code: CPED2010(11)										
WATSON-FORSBERG CO	316,275.00	500	16.55%	1899.75	611.5	32.19%	1122	376	33.51%	3021.75
Project Name: OP 7174 - GREASE INTERCEPTOR REPLACEMENT										
Project Code: OP 7174										
LUND MARTIN CONSTRUCTION INC	158,000.00	24	2.71%	486.5	37.5	7.71%	399	17.5	4.39%	885.5
Project Name: OP 7195 - ADC HVAC UPGRADES PHASES III AND IV										
Project Code: C-27451										
NAC MECHANICAL & ELECTRICAL SERVICES	479,700.00	191	5.42%	3526.25	523	14.83%	0	0	0.00%	3526.25
Project Name: OP 7323 - SOUTH TRANSFER FACILITY										
Project Code: OP 7323										
LUND MARTIN CONSTRUCTION INC	342,400.00	34	4.00%	591	72	12.18%	260	96.5	37.12%	851
Project Name: OP 7356 - MCC ELECTRICAL										
Project Code: OP 7356										
EGAN COMPANY	292,329.00	232	10.43%	2224	414	18.62%	0	0	0.00%	2224
Project Name: OP 7356b - MCC DEMOLITION										
Project Code: OP 7356b										
CENTURY CONSTRUCTION CO., INC.	197,000.00	82	6.30%	449	0	0.00%	852.5	156	18.30%	1301.5
Project Name: OP 7317 - DEMOLITION OF NINE (9) STRUCTURES										
Project Code: C-28320										
MOEN CONCRETE, INC	167,600.00	0	0.00%	148	0	0.00%	324	197	60.80%	472
Project Name: OP 7361 - MINNEHAHA FALLS - LOWER GLEN VEGETATION MANAGEMENT										
Project Code: OP 7361										
PRAIRIE RESTORATIONS, INC.	296,095.00	242.75	9.74%	0	0	0.00%	2493.25	313.25	12.56%	2493.25

Project Name: OP 7362 - 10TH AVENUE STORM TUNNEL - OUTLET REPLACEMENT										
Project Code: OP 7362										
ENGINEERING & CONSTRUCTION INNOVATIONS INC	1,165,835.00	262	3.96%	3179.75	48	1.51%	3439	648	18.84%	6618.75
Project Name: OP 7419 - I 35W REMEMBRANCE GARDEN										
Project Code: OP 7419										
MCGOUGH CONSTRUCTION	410,700.00	188.5	5.40%	2853.5	549.5	19.26%	635.85	160.95	25.31%	3489.35
Project Name: ZOOM HOUSE										
Project Code: CPED2011(4)										
FRERICHS CONSTRUCTION COMPANY	722,681.00	270	5.67%	4610.5	523	11.34%	149.5	13	8.70%	4760
Totals			**6.8%**			**13.9%**			**20.4%**	

TABLE 3

SUBP Results for Active Projects (3rd Quarter 2011)

General Contractor Name	Project Name	Contract Amount	MBE Goal %	MBE Actual %	MBE Actual $	WBE Goal %	WBE Actual %	WBE Actual $
Engineering & Construction Innovation	**2nd Avenue Tunnel Repair OP 7345**	$635,445	5	0.4	$2,500	8	3.8	$24,150
PCI Roads, LLC	**10th Avenue Storm Tunnel OP 7376**	$3,887,404	5	2	$80,000	8	2	$83,000
Veit and Company	**25th & Granary West Pond OP 7454**	$838,380	3	2.8	$23,298	4	4.4	$36,604
CM Construction	**Aldrich Facility Renovation OP 7388**	$279,200	9.24	6.76	$18,900	11.91	11.22	$31,352
Weis Builders	**Alliance Addition**	$4,566,927	7	15	$559,832	9	15.2	$675,427
Load Bearing, Inc.	**Avenues for Homeless Youth**	$121,434	4	79.1	$107,473	5	20.9	$28,445
Rochon Corporation	**Boom Island**	$2,027,751	9.8	9.8	$200,000	10.5	10.5	$105,730
Frerichs Construction	**Clare Midtown**	$5,589,331	8	10.2	$591,125	7	8.0	$461,541
Concrete Idea	**Sidewalks, Curb & Gutter, Alleys & Driveways OP 7385**	$1,872,300	3	3	$56,169	2	2	$38,837
Cedar Ridge Landscaping	**Convention Center Landscape OP 7343**	$440,000	3	1.7	$3,000	3	1.2	$2,600
Egan Company	**Convention Center Mechanical OP 7364**	$217,348	4	14.8	$32,205	5	8.78	$19,100
K.A. Kamish Excavation	**Demo of (12) Structures OP 7300**	$197,413	2	17.2	$33,950	2	22.3	$43,900
Rochon Corporation	**East Phillips Community Center 7202**	$2,693,000	6	4.4	$117,680	7	9.4	$253,645
Veit & Company	**East River Road Erosion OP 7276**	$215,529	4	7.57	$19,042	3	3.25	$6,999
Erickson Plumbing and Heating	Fire Stations #15 & #21 Boiler Install OP 7431	$114,855	5	0	0	8	6%	$6,900
S.M. Hentges & Sons	**Flood Area 5: 37th Ave. N. Greenway**	$2,800,000	3.5	5.7	$149,143	5	6.7	$174,763
Frerichs Construction	**Gateway Lofts**	$5,369,693	6	6.8	$364,062	6	6.6	$352,158
Global Specialty Contractors	**Hiawatha Wade Pool OP 7327**	$218,900	4	.9	$1,000	6	10.9	$24,000
Rice Lake Construction	**Hydraulic Surge Facility OP**	$676,600	4	22.8	$153,928	3	5.9	$40,002

General Contractor Name	Project Name	Contract Amount	MBE Goal %	MBE Actual %	MBE Actual $	WBE Goal %	WBE Actual %	WBE Actual $
	7279							
NAC Mechanical	**Infrastructure Modifications OP 7440**	$200,888	6	6	$12,053	7	7	$14,062
Fitol Hintz Construction	**Lake Harriet Regional Playground OP 7470**	$902,700	2	.8	$7,500	2	2.3	$20,350
Frana & Sons	**Lyndale Green**	$7,274,758	9	9.7	$800,252	9	9.1	$752,836
Graham Construction	**Lime Slaker Replacement OP 7331**	$789,000	5.3	5.3	$41,890	6.5	2	$51,790
Cool Air Mechanical	**Mechanical & Life Safety Upgrds 7223**	$1,855,777	3	3.2	$60,000	4	3.8	$70,000
A & L Construction	**Mechanical & Life Safety – Air Handling Unit OP 7441**	$124,400	2	16.1	$20,000	5	33.7	$41,895
Prairie Restorations	**Minnehaha Falls Vegetation OP 7361**	$296,095	4	2.2	$3,150	6	1.69	$2,400
Ryan Construction	**MoZaic**	$11,000,000	8	3.8	$419,016	8	12.0	$1,315,336
Frana & Sons	**Nokomis Senior**	$9,855,000	7	7.7	$755,426	7	7.5	$734,536
TC Habitate for Humanity	**Old 3rd Avenue Townhomes**	$620,944	6	16.2	$100,720	7	26.4	$163,622
Midwest Asphalt Corp	**Parking Lot Pavement OP 7253**	$217,400	3	1.4	$3,000	3	1.2	$2,600
Terra General Contractors	**Pioneer & Soldiers Memorial OP 7307**	$237,000	2	2	$5,000	2	2	$5,250
Lund Martin Construction	**Ramp B (rebid) OP 7173**	$6,865,000	7	19	$1,301,875	9	8.9	$611,529
Thomas and Sons	**Riverside Avenue –Phase 1 OP 7453**	$924,934	3	3	$28,000	3	3	$28,000
Knutson Construction	**Riverside Plaza**	$48,357,013	5	12.1	$6,688,824	6	13.4	$7,424,247
Watson – Forsberg	**St. Anne's Senior Housing Remodel**	$180,810	7	2.24	$4,050	7	20.9	$37,824
McGough Construction	**The Shubert Performing Arts**	$19,895,990	10	11.2	$2,232,394	11	13.2	$2,631,022
TC Habitat for Humanity	**TC Habitat – Met Council**	$110,000	6	16.0	$17,581	8	61.5	$67,641
Frerichs Construction	**Whittier Apartment**	$2,069,891	6	25.8	$568,880	8	10.0	$221,049
Ebert Construction	**Wirth Lake Site Improvement OP 7392**	$1,603,992	0	0	0	11.5	11.5	$184,501

General Contractor Name	Project Name	Contract Amount	MBE Goal %	MBE Actual %	MBE Actual $	WBE Goal %	WBE Actual %	WBE Actual $
Frerichs Construction	**J Jerome Boxleitner**	$13,810,021	7.9	7.9	$1,047,000	1.8	1.3	$243,839
Minnesota Native Landscapes	**Minnehaha Lower Glen, OP 7469**	$195,000	1.68	0	0	2.85	0	0
PMI Construction	**Phillips Community Center Remodel, OP 7495**	$245,000	11.8	0	0	8.12	0	0
A&L Construction	**St. Anne's Place Kitchen Renovation**	$89,900	13	13	$12,000	8	8	$7,200
Totals		**$160,483,023**		**10.4%**	**$16,641,918**		**10.6%**	**$17,041,682**

TABLE 4

Projects Not Meeting Goals (3rd Quarter 2011)

Project Name	General Contractor	Contract Amount	Minority Percentage (Combined)	Female Percentage	Activity if participation was 2% below goal	# of 2% Incidents in Last 3 Years
10th Avenue Tunnel Dewater OP 7357	Keys Well Drilling	$177,750	1.37	0	Project being reviewed	0
Asphaltic Concrete Vehicular Paving OP 7460	DMJ Asphalt	$107,030	0	0	Minority: * Explanation and corrective plan requested.	0
Bituminous Parking Lot Replacement OP 7478	Bituminous Roadways	$75,900	23.82	0	Explanation and corrective plan requested.	0
Boom Island OP 7403	Rochon Corporation	$2,027,751	4.3	2.42	Compliance taking place, compliance plan still under review	0
East Phillips Community Cultural Center OP 7202	Rochon Corporation	$2,693,000	23.5	3.5	Noncompliance letter issued.	0
Flood Area 5: 37th Ave. N. Greenway OP 7383	S.M. Hentges & Sons	$2,800,000	11.6	2.98	Corrective action plan approved.	0
Hiawatha Wade Pool OP 7327	Global Specialty Cont	$218,900	20.6	3.27	Female goal will not be met.	0
J.J. Boxleitner	Frerichs Construction	$13,810,021	11	2.29	Contractor committed to goal *	2
Lead Hazard Reduction OP 7369	MN Remodeling	$1,600,000	8	0	Minority: Explanation/corrective plan requested, GC anticipates meeting goals. Female*	0
Lime Slacker Replacement OP 7331	Graham Construction	$789,000	4.89	1.73	Contractor notified, will be unable to meet goals *	2
Lyndale Green	Frana & Sons	$7,274,758	14.7	3.89	*	0
Old 3rd Avenue Townhomes	Habitat for Humanity	$360,000	2.6	0	Project began in June.	0
Parking Lot Pavement OP 7253	Midwest Asphalt Corp	$217,400	5.4	8	Explanation requested, GC intends to meet goals.	0
Target Center Video Surveillance OP 7373	Audio & Security Engineers	$85,153	62.8	0	Performed as approved	0
Two Identical Fiberglass Tanks	Magney Construction	$119,879	0	0	Explanation and corrective plan reviewed and accepted, contractor put on notice.	1
Solar Support Structure, OP 7446	Meisinger Construction	$87,500	0	0	Held pay until reporting submitted *	1

Project Name	General Contractor	Contract Amount	Minority Percentage (Combined)	Female Percentage	Activity if participation was 2% below goal	# of 2% Incidents in Last 3 Years
Minnehaha Lower Glen, OP 7469	Minnesota Native Landscapes	$195,000	0	13.82	Contractor notified, project just started	0
Phillips Community Center Renovation, OP 7495	PMI Construction	$245,000	0	0	Explanation/corrective action requested , project just started *	0
Aldrich Facility Renovation, OP 7388	CM Construction	$333,500	4.69	11.53	Rec'd letter from GC, sub and union re: inability to meet goal	0
Concrete Sidewalks, OP 7385	Concrete Idea	$1,872,300	48.17	0	Female goal set at 0%*	0
Dewatering Plant, OP 7171	Graham Construction	$19,220,000	30.15	3.26	Contractor will not meet goal *	2

* Approved goal was below the standard goal of 6% female, 11% skilled minority, or 11% unskilled minority.