

CITY OF MINNEAPOLIS

Audit Committee Meeting Office of State Auditor Report

Presentation by Stephanie Erickson, Office of State Auditor, on the 2014 State of Minnesota/Office of State Auditor Management and Compliance Reports for the City of Minneapolis.

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Audit Committee Meeting

July 23rd, 2015

Agenda

- Audit Reports
 - Police Body Camera Pilot Consultation Memo
 - Purchasing Card Consultation Memo
- Audit Plan and Projects Updates
- Findings Follow Up

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Police Body Camera Pilot Consultation Report

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Purchasing Card Policy and Procedures Consultation Report

Purchasing Card Policy and Procedures Consultation

- Objective
- Background
- Scope
- Approach
- Observations

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Audit Plan and Projects Update

Audit Plan and Projects Update

Projects Completed:

- Police Body Camera Pilot Program Consultation
- Purchasing Card Policies and Procedures Consultation

Projects in Progress:

- Data Governance and Records Management Audit – Reporting Phase
- Permits, Inspections & Licenses Audit – Planning Phase
- Complaint Protocol Consultation – Fieldwork Phase
- Finance Internal Control Monitoring Consultation – Fieldwork Phase
- NCR Programming and Support Program Audit – Fieldwork Phase
- Police Records Management Implementation Consultation – Planning Phase
- Enterprise Land Management System Implementation Consultation – Fieldwork Phase
- Human Resources Personnel File Maintenance and Retention – Planning Phase

Audit Plan and Projects Update

Projects Cancelled:

- Police Risk Management & Quality Assurance Consultation

Projects Remaining:

- Governance of 3rd Parties Audit

Projects Added:

- Human Resources Personnel File Maintenance and Retention Audit

* Internal Audit is currently Risk Assessing the Public Works and Community Planning and Economic Development Departments, and will risk assess IT in late summer/early fall, this exercise could affect the 2015 audit plan, which will be prioritized accordingly.

Audit Findings Follow Up

- 27 outstanding findings were taken over from the prior Internal Audit Team from audits conducted between 2001 and 2013.
- There are 13 outstanding findings.
 - Two of these findings are being considered for risk acceptance.
- Internal Audit has reached out to each finding owner and is discussing the remediation or plans for remediation of each finding.
- 11 findings have been remediated since the March Audit Committee meeting and 3 were remediated prior to that.