

CITY OF MINNEAPOLIS

Audit Committee Meeting

January 27th, 2015



1/23/2015

Agenda

- Findings follow up
- Enterprise Data Governance Audit
- NCR Evaluation Project
- Risk Assessment
- IT Audit Outsourcing
 - Interim
 - Long Term

Audit Findings Follow Up

- 27 open audit findings from prior team
 - 7 in Finance Dept.
 - 7 in Public Works Dept.
 - 4 in Human Resources Dept.
 - 4 in Business Information Services (IT)
 - 2 in Police Dept.
 - 1 in Clerks Office
 - 1 in Community Planning and Economic Development Dept.
 - 1 finding was changed to a recommendation

Enterprise Data Governance Audit

- Standards:
 - MN Govt. Data Practices Act – MN Statute
 - Handbook for MN Cities – MN League of Cities
- Processes:
 - Intake and creation
 - Access provisioning and monitoring
 - Records retention and public access
- Policies:
 - Various City Records Management Policies

NCR Evaluation Project

Staff Direction for Internal Audit to facilitate the evaluation of the effectiveness of the Neighborhood & Community Relations Department.

Scope and Objective Setting

Consultant Selection

Project Execution and Deliverable

Risk Assessment

- Process
 - Meetings
 - Risk Management Education
 - Questionnaire
 - Output Aggregation
 - Audit Plan
 - Continuous Risk Assessment
- Hennepin County Audit Collaboration

IT Audit Outsourcing

- Interim Outsourcing
- Continued Support
 - Integrated Audits
 - IT Audits
 - Risk Assessment and Other
 - RFP Posted, responses due 2/11/15