

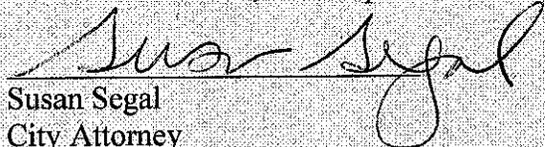


## Request for City Council Committee Action from the Office of the City Attorney

**Date:** May 13, 2014  
**To:** Intergovernmental Relations Committee  
**Subject:** Ethics Officer Report Pursuant to Minneapolis City Ordinance § 15.40(b)(4) regarding federal lobbying representation  
**Recommendation:** That the Committee receive and file this report.

Prepared by: Susan L. Trammell, Minneapolis Ethics Officer

Approved by:

  
Susan Segal  
City Attorney

Presenters in Committee: Gene Ranieri, Intergovernmental Relations Director

### Report:

Minneapolis Code of Ethics, Section 15.40(b)(4) requires that the Ethics Officer report to the Intergovernmental Relations Committee the projects, if any, that create a professional conflict of interest for the City's proposed lobbyists. The Ethics Ordinance, § 15.40(b)(4) defines professional conflict of interest as "a situation where the interest of a principal of the lobbyist are or may be adverse to the interests of the city."

The Intergovernmental Relations Department (IGR) has informed the Ethics Officer of the intention to enter into lobbying contracts for the 2014 federal legislative sessions with Dennis McGrann of Lockridge Grindal Nauen, P.L.L.P (Lockridge), and Ted Bristol of Faegre, Baker Daniel (FaegreBD). The lobbyists supplied a list of principals for whom the firms were expected to provide lobbying services. For each firm, a list of expected lobbying projects was provided and is attached to this report.

Both IGR and the Ethics Officer reviewed the lists of principals and lobbying issues for professional conflict of interest. We found no situations where the interests of the City may be adverse to those of the FaegreBD current principals and lobbying issues. We also discussed concerns regarding Lockridge's representation of the City of St. Louis Park, Hennepin County, and the Counties Transit Improvement Board (CTIB) on transit and transportation issues before Congress and the Administration. Lockridge has also advocated before Congress and the Department of Transportation/Federal Transit Agency for the advancement of the Southwest Light Rail Transit (SWLRT) project on behalf of the City of Minneapolis and other clients who have an interest in the ultimate completion of this project. Lockridge reports that it has not engaged, nor been asked to engage, at the federal level on any issues related to SWLRT beyond advocating for federal funding for the project. Lockridge agrees to notify the City in the event it is asked to engage in other SWLRT issues.

Each lobbyist entering a contract with the City for lobbying services is required by Code of Ethics, §15.40(b)(4) to update their list of principals and lobbying issues whenever there is a change. IGR will continue to monitor the potential for conflicts through the length of the lobbyists' contracts.

	<b>PRINCIPAL</b>	<b>PROJECT (S) ON WHICH LOBBYING IS EXPECTED</b>	<b>ADMIN ACTION</b>	<b>LEGISLATIVE ACTION</b>
1.	Association of MN Counties	Authorizations, Appropriations, and Budget Policy	X	X
2.	City of College Park, Georgia	Transportation, Aviation, Authorizations, Appropriations, and Budget Policy	X	X
3.	City of Minneapolis	Transportation authorizations, Appropriations, Aviation Issues	X	X
4.	City of St. Louis Park	Authorizations, Appropriations, and Budget Policy; Transportation	X	X
5.	City of St. Michael	Economic Development, Transportation authorizations and appropriations	X	X
6.	City of St. Paul	Economic Development, Transportation authorizations and appropriations, Urban Area Security	X	X
7.	Counties Transit Improvement Board	Intercity urban transit issues, transportation authorizations, budget policy	X	X
8.	Dakota County	Healthcare, Authorizations, Appropriations, and Budget Policy	X	X
9.	Gillette Children's Hospital	Health care authorizations and appropriations	X	X

10.	Goodhue County	County issues, Authorizations, Appropriations, and Budget Policy	x	x
11.	HealthWorks	Procure regulatory direction and confirmation regarding the role and status of Taft-Hartley plans under the ACA	x	x
12.	Hennepin Health	Health care authorizations, Federal Grant procurement	x	x
13.	Hennepin County	County issues, Authorizations, Appropriations, and Budget Policy	x	x
14.	International Union of Painters and Allied Traders	Relationship Building	x	x
15.	Lake County	Environmental, Transportation, Authorization, Appropriation	x	x
16.	Leech Lake Band of Ojibwe	Environmental, Transportation, Authorization, Appropriation	x	x
17.	Michael Foods	Administration and Congress Regarding Agriculture	x	x
18.	Minneapolis Aviation	Aviation policy, airport noise, aviation research	x	x
19.	Minneapolis Parks and Recreation Board	Parks and Urban waters authorizations and appropriations	x	x
20.	MN Association of Narcotics Investigators	Department of Justice grants, drug policy, budget policy	x	x
21.	MN Association of Small Cities	Rural development issues, US Department of Agriculture access	x	x
22.	MN Police and Peace Officers Association	Department of Justice grants, local law enforcement issues, interoperability /	x	x

		spectrum policy, budget policy		
23.	N.O.I.S.E	Aviation policy, airport noise, aviation research	x	x
24.	Northern Lights Express	Passenger rail, transportation authorizations, budget policy	x	x
25.	Olmsted County	Passenger rail, transportation authorizations, Appropriations, and Budget Policy	x	x
26.	Police Officers Association of Michigan	Department of Justice grants, local law enforcement issues, interoperability / spectrum policy, budget policy	x	x
27.	Ramsey County	County issues, Authorizations, Appropriations, and Budget Policy	x	x
28.	Ramsey County Regional Rail	Passenger rail, surface transportation reauthorizations	x	x
29.	St. Louis County	County issues, transportation authorizations, Appropriations, and Budget Policy	x	x
30.	TTX	Surface transportation authorizations, budget policy	x	x
31.	University of St. Thomas	Higher education issues	x	x
32.	Washington County	Transit, transportation authorizations, Appropriations, and Budget Policy	x	x

Client Name	Description	Project
510 K Coalition	Coalition of medical device companies	Health care policy
Abound Solar	Solar panel manufacturer	Financing
AIT	High Complexity Laboratory	Policy advocacy and reimbursement
Alliance for Safe Injection Practices	Nonprofit	Policy advocacy - injection safety practices
Alliance for Safe Online Pharmacies (ASOP)	Coalition	Policy advocacy - patient safety
Alliance of Catholic Health Care	Coalition umbrella organization	Health policy advocacy
Arthritis Foundation	Nonprofit	Health policy advocacy
Medicalis Corporation	Device manufacturer	Health policy advocacy
Becton Dickinson & Co	Device manufacturer	Health policy advocacy
BioCrossroads	Regional organization	Life Sciences Focus, Economic Development
Council for American Medical Innovation	Coalition	Policy advocacy on medical innovation
Carbon Motors Corporation	Auto maker	Financing
Celanese Corporation	Technology	Policy advocacy (energy)
Cincinnati Children's Hospital	Provider	Health policy advocacy
City of Findlay (Northwest Ohio Flood Mitigation)	Local government	Flood control
City of Fort Wayne	Local government	City Issues
City of Garland	Local government	City issues
City of South Bend	Local government	City issues
City of Terre Haute	Local government	City issues
Coalition for Excellence in Medication Monitoring (CEMM)	Coalition of high complexity labs	Policy advocacy and reimbursement
Darling International Inc.	Renderer	Federal affairs, advocacy
Economic Council of St. Louis County	Regional organization	Economic development
EMD Serono	Biopharmaceutical	Health policy advocacy
FCCI	Insurance	Federal affairs, advocacy
Georgia Research Alliance	Regional organization	Technology Based Economic Development
Great River Greenway District	Regional organization	Bike and pedestrian trails
Harford County Government	Local government	County issues
HONORreform	Non profit	Policy advocacy - injection safety practices
ICOR International	ACR products	Regulatory work
Indiana State University	Education	Financing and program development
Indianapolis Public Schools	Education	Funding
KAR Holdings		
Learning & Education Academic Research Network	Coalition of Colleges of Education	Appropriations; Policy advocacy (i.e ESEA reauthorization)
Learning Forward	Education	Policy advocacy - professional development for educators
Leavitt Partners	Consulting firm	Health policy and food safety
Maryland Technology Development Corp (TEDCO)	Regional organization	Technology Based Economic Development
Mathematical Association of America	Professional association in collegiate mathematics	Appropriations; Policy advocacy (i.e ESEA reauthorization)
Medicalis Corporation	Radiology benefits manager	Reimbursement
Medicare Chronic Care Practice Research Network (Carle F'dn)	Research network	Medicare policy
Murray Energy	Energy company	Mining issues
National Association of Independent Review Organizations	Association	Health policy - uniform peer review standards
National Assoc Surplus Lines (NAPSLO)	Insurance	Federal affairs, advocacy
National Association of Gifted Children	Education	Funding/federal programs
National Conference of Insurance Guaranty Funds	Insurance	Federal affairs, education project
National Down Syndrome Society	Nonprofit	Policy advocacy
National Fragile X Foundation	Nonprofit	Policy advocacy
National Org of Life & Health Insurance Guaranty Assns	Insurance	Federal affairs, education project
National Steel Bridge Alliance (AISC d/b/a)	Association of steel companies	Transportation issues
Networks Financial Institute	Nonprofit financial services policy center	Federal, national affairs consulting
Novavax	Vaccine manufacturer	Funding
Nuclear Energy Institute	Membership organization	Energy policy
Oberlin College	Education	Funding
Parent Project Muscular Dystrophy	Patient advocacy organization	Health policy and funding
Pharmaceutical Distribution Security Alliance	Pharmaceutical Distribution Security Alliance	Distribution and supply chain policy issues
Purdue University	Education	Appropriations; Legislative and agency advocacy
Norwest Indiana Regional Development Authority	Local government	Economic development
RS Medical	DME company	Reimbursement
Scholarly Pubs & Acadmic Cltn (SPARC)	Coalition of libraries	Open access

Client Name	Description	Project
South Bend Public Transportation (TRANSPO)	Transit	Funding and transit programs
South Coast Air Quality Management District	Transportation	Air quality, Congestion mitigation
Spectrum Health	provider	health policy advocacy
TransforMED	Non-profit	Federal funding
TRDA (Technology Research and Development Authority)	Regional organization	Economic development
TruHearing Inc	Hearing aid distributor	Reimbursement
Union Hospital	Provider	Reimbursement
USA Swimming	National Governing Body	Drowning prevention funding
USAgainst Alzheimers	Patient advocacy organization	Alzheimer's research and patient support
Ways to Work	Non-profit	Policy advocacy for social service issues

2014 Lobbying Client List  
FaegreBD Consulting

Client Name	Description	Project
510 K Coalition	Coalition of medical device companies	Health care policy
AIT Laboratories	Toxicology laboratory services	Health Issues
Alliance for Safe Injection (AIS)	Nonprofit association	Policy advocacy - Injection safety practices
Aurora Organic Dairy	Dairy	Farm Bill Issues
Baxter Healthcare	Health care	Health Issues
Cincinnati Children's Hospital	Hospital/health care provider	Health policy advocacy
City of Garland	Local government	City Issues
City of Terre Haute	Local government	City Issues
Darling International Inc.	Biofuel producer	Federal affairs, energy issues, advocacy
Economic Council of St. Louis County	Regional organization	Economic development
Enterprises Swanco, L.L.C.	Farm	Federal Land Swap
FCCI	Insurance	Federal affairs, advocacy
Health Quality Partners	Nonprofit Health Care Quality Research	Health Issues
Indiana State University	Education	Financing and program development
Mathematical Association of America	Professional association in collegiate mathematics	Science, technology and education Issues
Maxim Healthcare Services	Health services	Medicaid/Health/Small Business Issues
MSP Corporation	Technology	Defense research funding
Murray Energy Corporation	Energy company	Mining issues
Mylan Specialty (Dey Pharma)	Pharmaceutical company	Health Issues
National Assoc Surplus Lines (NAPSLO)	Insurance association	Federal affairs, advocacy
National Conference of Insurance Guaranty Funds	Insurance association	Insurance Regulatory Issues
National Org of Life & Health Insurance Guaranty Assns (NOLHGA)	Insurance association	State insurance financial solvency regulations
National Psoriasis Foundation	Health advocacy association	Public health and research Issues
National Steel Bridge Alliance (AISC d/b/a)	Association of steel companies	Transportation Issues
Networks Financial Institute	Nonprofit financial services policy center	Federal, national affairs consulting
Northwest Ohio Flood Mitigation Partnership, Inc.	Local government	Flood control
Novavax, Inc.	Vaccine manufacturer	Funding
Parent Project Muscular Dystrophy	Patient advocacy organization	Health policy and funding
Pharmaceutical Distribution Security Alliance (PDSA)	Pharmaceutical Distribution Security Alliance	Distribution and supply chain policy issues
NW Indiana Regional Development Authority	Regional Development Organization	Transit Issues
Remington Medical Resorts	Skilled Nursing Care Facility	Post-Acute Care and Skilled Nursing delivery and Payment reforms.
South Bend Public Transportation (TRANSP0)	Transit company	Funding and transit programs
Spectrum Health	Health care provider	Health policy advocacy
Teays River Investments, LLC	Investment company	Farm Bill Issues
The Alliance of Catholic Health Care	Coalition umbrella organization	Health policy advocacy
The Great River Greenway District	Regional organization	Bike and pedestrian trails
TransferMED, Inc.	Non-profit	Federal funding
Union Hospital	Hospital/health care provider	Reimbursement
Underwriters Laboratories, Inc.	Science safety company	Food safety/Medical devices
USAgainst Alzheimer's	Patient advocacy organization	Alzheimer's research and patient support
Vermont Captive Insurance Association	Insurance association	Insurance Regulatory Issues
Ways to Work	Non-profit	Policy advocacy for social service and transportation issues
Whirlpool Corporation	Home appliance company	Energy efficiency issues
Xcel Energy	Utility company	Tax credits