Statement of Economic Interest

Candidate or Elected Official in a Metropolitan Governmental Unit
Under Minn. Stat. § 10A.01, subd. 22; and 10A.09, subd. 6a
And under Minneapolis Code of Ordinances
Chapter 15 Ethics in Government, Article 1 Trust 15.80

Instructions

- If there are no changes since your last filing check the box in the Certification section below, complete all sections on this page, sign and return the form.
- This statement must be completed by each candidate for local office and annually each year if elected.
- The statement is due:
  Within 14 days of signing an affidavit of candidacy, and
  No later than April 15th, annually, if elected
- The completed statement may be mailed to Minneapolis Elections, 350 S. 5th St.-Room 1B, Minneapolis, MN 55415, or it may be faxed to (612) 673-2756 or scanned and emailed to dani.connors-smith@minneapolismn.gov.
- Do not use pencil or red ink.
- All information on this statement is public data.
- It is unlawful to use this information for commercial purposes.
- Address questions to the City's Ethics Officer at (612) 673-3230 or the Elections Department at (612) 673-3857.

Personal Information	Employment Information
Name	Occupation
Address	Name of employer (indicate if self-employed)
City, state, zip	Address of employer
Telephone (daytime)	City, state, zip of employer
Name of Office	Email Address

Period Covered (check one and provide dates)

☐ Original Statement _____
Date filed for office

☐ Annual Supplementary Statement _____ To March 31, _____
Date last filed

☐ Amended Statement _____
Date of statement being amended

☐ Termination Statement (see instructions) _____
Date last served in elected office

Certification

NO CHANGES SINCE MY LAST FILING

I, _____ , certify that the information contained on this form is complete, true and correct.
(print or type name)

_____ _____
Signature of candidate/elected official Date

Any person who signs and certifies to be true a report or statement which the person knows contains false information, or who knowingly omits required information, is guilty of a gross misdemeanor and is subject to a civil penalty imposed by the Campaign Finance Board of up to $3,000.

This document is available in alternative formats to individuals with disabilities by calling 651/296-5148; 800/657-3889; or through the Minnesota Relay Service at 800/627-3529.

Instructions

Candidate/Elected Official address means the complete mailing address, including the zip code, at which the individual wishes to receive mail from the Metropolitan Governmental Unit. A person may use either a business address or home address.

Occupation means a person's usual trade, profession, employment, or other similar endeavor, and includes categories for which there is no direct financial compensation, such as homemaker.

Name of Employer is applicable only to those who have an employer. Individuals who are not employed or who are self-employed should indicate that fact in the employer name space.

Address of business – Complete address, including city, state, and zip code:

- for an employed person, the name of the employer and the address from which the employee conducts the employer's business
- for a self-employed person the address from which the person conducts business
- for a homemaker, retiree, farmer, or person not employed, the individual's home address.

Termination Statements are required only if there are changes to be reported from the previously filed statement. If there are changes to report, this statement is due after the term ends and before the following April 15th.

Associated business means an association, corporation, partnership, limited liability company, limited liability partnership, or other organized legal entity from which the individual receives compensation in excess of $50, except for actual and reasonable expenses, in any month as a director, officer, owner, member, partner, employer or employee, or whose securities the individual holds worth $2,500 or more at fair market value.

Compensation from Associated Business(es)

- ***include*** payment for services as a director, officer, owner, member, partner, employer, employee. Compensation includes per diem payments.

- ***do not include*** payments for services as an independent contractor, social security payments, unemployment compensation, workers' compensation, pension benefits, income from rental property, or insurance benefits.

Securities Held in Associated Business(es)

- ***include*** any stock, share, bond, warrant, option, pledge, note, debenture, lease, or commercial paper, in any corporation, partnership, mutual fund, trust, or other association. **Securities include stocks in a <u>self-directed</u> IRA and mutual fund shares held in an IRA or deferred compensation plan such as a 457(b) plan.**

- ***do not include*** deposits in a savings account; certificates of deposit; money market certificates; treasury bills; federal, state, municipal, or other political subdivision bonds or notes; dividends from securities; holdings in a pension or retirement plan; or stocks in an IRA which is <u>not self-directed</u>.

For shares in a mutual fund list the fund provider and fund name but do not itemize the stocks or the assets the mutual fund itself owns. For securities traded on national exchanges, list the name of the security not the exchange symbol.

The value of a security is its fair market value. For securities traded on national exchanges, the fair market value is the closing bid price for the security on a given date. The value of a partial interest in a security is the value of the holder's proportionate share.

Real Property includes land or buildings within the state of Minnesota, *other than the filer's homestead,* held personally or in partnership in which the filer holds:

- a fee simple interest (own); a mortgage held as seller; a contract for deed as a buyer or seller, or an option to buy, whether direct or indirect, and which interest is valued in excess of $2,500; or

- an option to buy, for property which has a fair market value of $50,000 or more.

- ***include*** the county in which the property is located; street address with the city name, OR if there is no street address, list the section, township, and range (lot, block, and addition description can be used in place of section, township, and range); acreage is required only when the section, township, and range description is reported.

- ***do not include*** homestead property or the type of property (cabin, condominium, apartments).

Pari-mutuel horse racing

- ***include*** any investment, ownership, or interest in property connected with pari-mutuel horse racing in the United States or Canada, including a race horse. Include any direct or indirect, partial or full interest held by you or an immediate family member.

Compensation from Associated Business(es) – Candidate or Elected Official

Report the name of any associated business from which you received compensation for labor or personal services in excess of $50.

- Original Statement of Economic Interest: include only compensation received in the calendar month immediately preceding the date of filing as a candidate.
- Supplementary Statement of Economic Interest: include compensation and honorariums in excess of $50 received since the preceding statement.

Check the box(es) that describes your relationship with the association. *See instructions on page two.*

- - - - - - - - - - - Check applicable box - - - - - - - - - - -

Name of source	Director	Officer	Owner	Member	Partner	Employer	Employee

Compensation from Associated Business(es) – Spouse or Domestic Partner

Report the name of any associated business from which your spouse or domestic partner received compensation for labor or personal services in excess of $50 and check the box(es) that describes your relationship with the association. *See instructions on page two.*

- - - - - - - - - - - Check applicable box - - - - - - - - - - -

Name of source	Director	Officer	Owner	Member	Partner	Employer	Employee

Securities Held in Associated Business(es) – Candidate or Elected Official

Report all securities in any associated business in which your share has a market value of $2,500 or more. Do NOT include number of shares or value of shares. *See instructions on page two.*

Name of security

Securities Held in Associated Business(es) – Spouse or Domestic Partner

Report all securities in any associated business in which your spouse or domestic partner's share has a market value of $2,500 or more. Do NOT include number of shares or value of shares. *See instructions on page two.*

Name of security

Real Property – Candidate or Elected Official

Report all non-homesteaded property held individually or in partnership within the state of Minnesota which meets the definition of real property as shown on page two of this statement.

- - - - - - - - - - - - - Check one - -- - - - - - - - - - - - - - -

County	Street address and city; OR section, township, and range	Own	Mortgage	Contract for deed As buyer or seller	Option to buy, interest is valued at greater than $2,500	Option to buy, prop value greater than $50,000	Acreage if applicable

Real Property – Spouse or Domestic Partner

Report all non-homesteaded property held by your spouse or domestic partner either individually or in partnership within the state of Minnesota which meets the definition of real property as shown on page two of this statement.

- - - - - - - - - - - - - Check one - -- - - - - - - - - - - - - - -

County	Street address and city; OR section, township, and range	Own	Mortgage	Contract for deed As buyer or seller	Option to buy, interest is valued at greater than $2,500	Option to buy, prop value greater than $50,000	Acreage if applicable

Pari-Mutuel Horse Racing – Candidate or Elected Official

Report any property connected with pari-mutuel horse racing. *See instructions on page two*.

- - - - - Check one - - - - -

	Partial interest	Full interest	Description of interest (horse, stable, etc.)
Official direct interest			
Official indirect interest			
Family interest			

Pari-Mutuel Horse Racing – Spouse or Domestic Partner

Report any property of your spouse or domestic partner connected with pari-mutuel horse racing. *See instructions on page two*.

- - - - - Check one - - - - -

	Partial interest	Full interest	Description of interest (horse, stable, etc.)
Official direct interest			
Official indirect interest			
Family interest			

Loans – Candidate or Elected Official

(See ordinance provisions relating to loans below)

Report source of applicable loans for yourself. A loan from a financial lending institution, negotiated by unrelated parties each acting in his own self-interest, with an interest rate of at least market rate, does NOT need to be reported. DO NOT include amount of loan or interest rate.

Name of security

Loans – Spouse or Domestic Partner

Report source of applicable loans for your spouse or domestic partner. A loan from a financial lending institution, negotiated by unrelated parties each acting in his own self-interest, with an interest rate of at least market rate, does NOT need to be reported. DO NOT include amount of loan or interest rate.

Name of security

Excerpt from Minneapolis Code of Ordinances
Chapter 15 Ethics in Government
15.120. Loans.

(a) A local official or employee, or the spouse or domestic partner of the local official or employee, or any entity in which the local official or employee or his or her spouse or domestic partner has a financial interest, shall not apply for, solicit, accept or receive a loan of any amount from any person, organization, or entity that is either doing business with or seeking to do business with the city. However, this section shall not prohibit application for, solicitation for, acceptance of or receipt of a loan from a financial lending institution if the loan is negotiated by unrelated parties, each acting in his or her own self interest and the interest rate charged is at least a market rate.

(b) This section shall not prohibit a local official or employee, or the spouse or domestic partner of a local official or employee, from applying for, soliciting, accepting, or receiving a loan from an entity such as an employer-sponsored credit union, insurance provider or deferred compensation plan that does business with the city or is seeking to do business with the city.

(c) This section shall not prohibit an appointed local official or employee, or the spouse or domestic partner of an appointed local official or employee, from applying for, soliciting, accepting, or receiving a loan from the Minneapolis Community Development Agency (MCDA), the Neighborhood Revitalization Program (NRP), or another city-sponsored or city-administered loan program.